

# Calabi–Yau Saturation Universality: Toric Mirror Laws, Hodge Statistics, and the Higher-Dimensional Landscape

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DOI: 10.37648/ijps.v21i01.017

<sup>1</sup>Received: 05/04/2026; Accepted: 29/04/2026; Published: 10/05/2026

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## Abstract

This paper develops a closed theorem system for high-dimensional Calabi–Yau saturation in the precise toric, hypersurface, stringy, and statistical ensembles defined in the text. The construction proves existence of compact Calabi–Yau  $n$ -folds in every dimension, exact adjunction and Chern-class formulae for the degree- $(n + 2)$  hypersurface tower, explicit Hodge-number derivations from the Jacobian ring, entropy lower bounds for reflexive-polytope families, quantitative phase-function asymptotics, Berry–Esseen convergence for the Poisson Hodge model, Lindeberg log-normal saturation, Hagedorn equivalence, and Batyrev mirror invariance for stringy Hodge laws. The resulting closure theorem shows that every conclusion used by the paper follows from stated definitions, classical theorems, or verified ensemble hypotheses, without hidden classification assumptions.

**Keywords:** Calabi–Yau manifolds; reflexive polytopes; mirror symmetry.

**MSC2020:** Primary 14J32, 53C25; Secondary 53C26, 14M25, 60F05, 82B26, 14J45, 14N35.

**PACS:** 02.40.-k; 11.25.Mj; 04.50.-h.

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**Closure convention.** A statement is called closed when its hypotheses are stated in the manuscript and its conclusion is obtained by an explicit proof, by a standard cited theorem, or by a named finite or asymptotic ensemble definition. Global classification language is used only after it has been reduced to one of these closed mechanisms.

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<sup>1</sup>How To Cite The Article: Bhattacharjee D.; (May 2026) Calabi–Yau Saturation Universality: Toric Mirror Laws, Hodge Statistics, and the Higher-Dimensional Landscape; International Journal of Professional Studies; Jan–Jun 2026, Vol 21, 211–256; DOI: <http://doi.org/10.37648/ijps.v21i01.017>.

# Foundations

## 1 Introduction

### 1.1 The classical theory and the higher-dimensional landscape

A compact *Calabi–Yau* (CY<sub>*n*</sub>) manifold of complex dimension *n* is a compact connected Kähler manifold  $(X, \omega, J)$  with vanishing first Chern class  $c_1(X) = 0 \in H^2(X, \mathbb{R})$ . The defining theorem of the field, due to Yau [2, 3] and resolving the Calabi problem [1], asserts that every such manifold admits a unique Ricci-flat Kähler metric in each Kähler class. The Ricci-flat metric, while non-explicit, is geometrically central: its holonomy lies in  $SU(n)$ , and for irreducible CY<sub>*n*</sub> the holonomy equals  $SU(n)$  exactly [14].

The classical theory of Calabi–Yau manifolds in dimensions  $n \in \{1, 2, 3\}$  is essentially complete:

- **Dimension 1:** Compact CY<sub>1</sub> are precisely the elliptic curves  $\mathbb{C}/\Lambda$  for lattices  $\Lambda$ , parametrised by the modular curve  $\mathbb{H}/SL(2, \mathbb{Z})$ .
- **Dimension 2:** Compact CY<sub>2</sub> comprise the K3 surfaces (simply-connected case, with  $\text{Hol} = SU(2) = Sp(1)$ , hence Hyper-Kähler) and the complex tori  $\mathbb{C}^2/\Lambda$  (with  $\text{Hol} = \{e\}$ ). All K3 surfaces are diffeomorphic; their Hodge diamond is universal:  $h^{0,0} = h^{2,2} = 1$ ,  $h^{1,0} = h^{0,1} = 0$ ,  $h^{1,1} = 20$ ,  $h^{2,0} = h^{0,2} = 1$ ,  $\chi = 24$  [17, 4].
- **Dimension 3:** Compact CY<sub>3</sub> comprise a vast but still partially classified collection. The CICY (complete intersection in products of projective spaces) classification of Candelas–Dale–Lütken–Schimmrigk yields 7,890 topologically distinct families, with mirror symmetry [5, 7] pairing them in nearly all cases.

The theory in dimensions  $n \geq 4$  is qualitatively different. Individual constructions are well-known: degree- $(n + 2)$  hypersurfaces in  $\mathbb{C}P^{n+1}$ , complete intersections in products of projective spaces, and toric anti-canonical hypersurfaces obtained from reflexive  $(n + 1)$ -polytopes by Batyrev’s construction [6]. Related high-dimensional saturation, Hopf-like fibration, cohomological, and string-phenomenological perspectives appear in [29, 30, 31, 32, 33]. Yet no systematic theory exists for the *global structure* of the higher-dimensional landscape: its enumeration, statistical properties, and asymptotic behaviour as  $n \rightarrow \infty$ .

The role of the present paper is to establish a closed theorem system for these indexed ensembles.<sup>12</sup>

### 1.2 Mathematical closure principle

The paper works with an explicitly specified universe of objects: smooth hypersurface Calabi–Yau manifolds, toric Batyrev anticanonical hypersurfaces with stringy Hodge numbers, finite computational ensembles, and triangular arrays of Hodge observables. Closure means that each claimed conclusion is derived inside this universe without appealing to an unspecified classification of all compact Calabi–Yau manifolds. The main proof strategy is therefore not to assume saturation, but to derive it from measurable conditions on logarithmic Hodge variables, Euler normalisation, entropy regularity, and mirror-invariant stringy Hodge laws. This converts the saturation programme into a finite chain of identities and inequalities.

The central mechanism may be summarised as

$$\boxed{\begin{array}{l} \text{adjunction + Hodge theory + toric duality + probability limits} \\ \implies \text{Calabi–Yau saturation closure} \end{array}} \quad (1)$$

The individual arrows in (1) are proved in the sections where the corresponding objects are defined.

<sup>1</sup>Here “landscape” means an indexed collection of deformation, birational, toric, or stringy topological types equipped with comparable invariants.

<sup>2</sup>The phrase “higher-dimensional” is used for complex dimension at least four. This is the range where ordinary threefold mirror technology no longer supplies a single uniform classification theorem.

### 1.3 The central question

Let  $N(n)$  denote the number of topologically distinct compact  $CY_n$  manifolds (with the convention that for  $n = 1$ , where the count is naively infinite, we use the moduli-theoretic refinement  $N(1) = 1$  corresponding to the family of elliptic curves modulo isomorphism). The empirical data—reflexive-polytope counts, CICY enumerations, machine-learning surveys [22, 23]—indicate that  $N(n)$  grows super-polynomially in  $n$ , but the precise asymptotic rate is unknown. We define:

- the *ensemble entropy*  $S_{CY}(n) = \log N(n)$ ;
- the *phase function*  $\Phi(n) = \frac{d}{dn} \log \log N(n) = \frac{(\log N)'(n)}{\log N(n)}$ ;
- the *normalised Hodge measure*  $\mu_n^{(p)}$ , the law of the random variable  $h^{p,1}(X)/\mathbb{E}_n[h^{p,1}]$  over a uniform sample  $X$  from the toric  $CY_n$  ensemble at dimension  $n$ .

#### The central question of the present programme:

*Does there exist a finite critical dimension  $n^* < \infty$  such that, for  $n > n^*$ , the normalised Hodge measures  $\mu_n^{(p)}$  converge weakly to universal limiting laws  $\mu_\infty^{(p)}$ , and the phase function  $\Phi(n)$  enters a regime of decelerating growth?*

An affirmative answer would assert that the higher-dimensional CY landscape admits a *thermodynamic limit*: high-dimensional CY manifolds are statistically described by a finite-parameter family of universal distributions, even if the absolute count  $N(n)$  continues to grow super-polynomially.

### 1.4 The Dimensional Saturation closure statement

The saturation closure target, formalised in §29, has six clauses:

- (DSC.1) **Distributional convergence:**  $\mu_n^{(p)} \xrightarrow{w} \mu_\infty^{(p)}$  for each  $p \in \{1, \dots, n-1\}$ .
- (DSC.2) **Log-normal limit:**  $\mu_\infty^{(1)} = \text{LN}(\mu_*, \sigma_*^2)$  with universal  $\mu_*, \sigma_* > 0$ .
- (DSC.3) **Gaussian CLT:** standardised  $\xi_n^{(1)} = (h^{1,1} - \mathbb{E}[h^{1,1}])/\sqrt{\text{Var}[h^{1,1}]}$   $\xrightarrow{d} \mathcal{N}(0, 1)$ .
- (DSC.4) **Euler concentration:**  $\chi(X)/\chi(X_{n+2}) \xrightarrow{P} 1$ .
- (DSC.5) **Growth deceleration:**  $\Phi(n) < 0$  and  $\Phi(n) \rightarrow 0$  for  $n > n^*$ .
- (DSC.6) **Critical bracket:**  $6 \leq n^* \leq 20$ .

### 1.5 Principal results of the paper

The paper is organised around eight principal theorem-level components, each stated with its operative hypotheses:

- (MR1) **Dimensional Existence Theorem** (Theorem 6.1). For every  $n \geq 1$ , the smooth degree- $(n+2)$  hypersurface  $X_n \subset \mathbb{C}\mathbb{P}^{n+1}$  is a compact  $CY_n$  with full  $SU(n)$  holonomy generically, simply connected for  $n \geq 2$ .
- (MR2) **Hodge Symmetry Theorem** (Theorem 5.2). For irreducible  $CY_n$ , the Hodge diamond satisfies the universal symmetries  $h^{p,q} = h^{q,p}$ ,  $h^{p,q} = h^{n-p,n-q}$ ,  $h^{p,0} = 0$  for  $0 < p < n$ ,  $h^{0,0} = h^{n,0} = 1$ .
- (MR3) **Tian–Todorov Theorem** (Theorem 5.9).  $\text{Def}(X)$  is unobstructed of complex dimension  $h^{n-1,1}(X)$ .
- (MR4) **Quantitative Entropy Lower Bound** (Theorem 27.1).  $\log N(n) \geq c_E n^2 \log n$  for  $n \geq 3$ , with  $c_E \geq 0.10$  explicit.

- (MR5) Phase Function Monotonicity Theorem** (Theorem 28.6). Under doubly-exponential growth,  $\Phi(n)$  is strictly decreasing on  $[3, \infty)$  with the asymptotic expansion displayed in its proof.
- (MR6) Berry–Esséen CLT** (Theorem 30.3).  $\sup_x |F_n(x) - \Phi(x)| \leq C_0/\sqrt{c_\lambda \log(n+1)}$  under the Poisson Hodge model.
- (MR7) Verified Saturation Criterion** (Theorem 29.1). Cumulant control for logarithmic Hodge variables implies log-normal Hodge-ratio saturation, Euler concentration, and entropy deceleration inside the chosen ensemble.
- (MR8) Lindeberg Saturation Criterion** (Theorem 29.2). A triangular-array Lindeberg condition gives the same log-normal saturation conclusion by a direct central-limit argument.
- (MR9) Hagedorn Classification** (Theorem 31.2). Finiteness of the Hagedorn temperature is equivalent to the Saturation Principle.
- (MR10) Toric Mirror Universality Theorem** (Theorem 32.4). Batyrev polar duality preserves the stringy Hodge-law universality class for reflexive-polytope ensembles.

We also establish twelve auxiliary propositions that build the architecture, including: complex-structure moduli growth (Proposition 6.2), reflexive polytope counts and Gram-matrix lower bound (Lemma 10.3), Beauville–Bogomolov decomposition (Theorem 4.5), Berger holonomy classification (Theorem 4.1), the constraint formula  $\chi = \sum_{p,q} (-1)^{p+q} h^{p,q}$  for Calabi–Yau Euler characteristics in even dimensions (Proposition 8.1), Batyrev’s combinatorial Hodge formula (Theorem 7.4), Tadpole constraint for  $CY_4$  in F-theory (Proposition 7.6), the Picard–Fuchs equation for the degree- $(n+2)$  family (Proposition 13.2), the Tian–Todorov  $\partial\bar{\partial}$ -lemma argument (Lemma 5.10), the Hard Lefschetz isomorphism for  $CY_n$  (Theorem 5.6), the Hodge–Riemann bilinear relations (Proposition 5.8), and the Hagedorn-temperature classification of growth regimes (Proposition 31.3).

## 1.6 Outline

The paper is organised in five parts.

**Part I (Foundations, §§1–5).** Notation, Kähler geometry, Yau’s theorem, holonomy theory, Hodge theory.

**Part II (Existence and Construction, §§6–10).** Proof of the Dimensional Existence Theorem in five steps; computation of Hodge invariants for the hypersurface family; the Batyrev toric construction; the CICY family.

**Part III (The Asymptotic Theory, §§27–31).** Entropy lower bound; four growth models; phase function monotonicity; the Dimensional Saturation closure criterion; Berry–Esséen rate; Hagedorn classification.

**Part IV (Mirror Symmetry and Universality Classes, §§32–33).** Mirror symmetry in higher dimensions; the universality class structure; Mirror Universality Theorem.

**Part V (Computational Evidence and Outlook, §§34–35).** Monte Carlo data; reflexive polytope statistics; cellular automaton model; closure ledger; verification programme; structural problems.

## 1.7 Methodological remark

This paper is written as a fully self-contained exposition. Every theorem is proved in full, every numerical claim is verified by explicit computation, and every structural principle used by later arguments is tied to a displayed hypothesis or a classical cited theorem. The architecture admits

independent verification: a reader may, in principle, reconstruct every numerical entry of the tables and every step of the proofs from the definitions and classical references alone.

## 2 Notation, conventions, and preliminaries

### 2.1 Smooth and complex categories

Throughout the paper, “manifold” means smooth manifold; “complex manifold” means complex-analytic manifold; “smooth projective variety” means a smooth complex projective algebraic variety, identified with its analytification when convenient. We work over the complex numbers throughout.

### 2.2 Compact Kähler manifolds

A Hermitian metric  $h$  on a complex manifold  $(X, J)$  defines a real  $(1, 1)$ -form  $\omega = -\Im h$ , the Kähler form. The pair  $(h, \omega)$  is Kähler if  $d\omega = 0$ , equivalently if the local complex coordinate components  $h_{i\bar{j}}$  satisfy  $\partial_k h_{i\bar{j}} = \partial_i h_{k\bar{j}}$  in any holomorphic chart.

**Definition 2.1** (Kähler manifold). A Kähler manifold is a triple  $(X, \omega, J)$  where  $X$  is a smooth manifold,  $J$  is an integrable almost-complex structure, and  $\omega$  is a closed real  $(1, 1)$ -form positive on  $J$ -complex tangent vectors.

*Remark 2.2.* On a compact Kähler manifold, the Kähler form  $\omega$  defines a cohomology class  $[\omega] \in H^2(X, \mathbb{R})$  in the Kähler cone  $\mathcal{K}(X) \subset H^{1,1}(X, \mathbb{R})$ . The cone is open and convex, parametrising the Kähler classes of  $X$ .

### 2.3 Calabi–Yau manifolds

**Definition 2.3** (Calabi–Yau manifold). A compact Calabi–Yau (CY $_n$ ) manifold is a compact connected Kähler manifold  $(X, \omega, J)$  of complex dimension  $n$  with vanishing first Chern class  $c_1(X) = 0 \in H^2(X, \mathbb{R})$ .

*Remark 2.4* (Equivalent conditions). For a compact Kähler manifold  $X$  of complex dimension  $n$ , the following are equivalent:

- (a)  $c_1(X) = 0$ ;
- (b)  $K_X = \Lambda^n T_{1,0}^* X$  has trivial first Chern class;
- (c) There exists a Ricci-flat Kähler metric in some Kähler class on  $X$  (Yau’s theorem);
- (d)  $\text{Hol}(X, g_{\text{RF}}) \subseteq \text{SU}(n)$  for the Ricci-flat metric  $g_{\text{RF}}$ ;
- (e) There exists a nowhere-vanishing holomorphic  $n$ -form  $\Omega \in H^0(X, K_X)$ , possibly after a finite étale cover.

The equivalence (a) $\Leftrightarrow$ (b) is by definition. The implication (a) $\Rightarrow$ (c) is Yau’s theorem [3]; (c) $\Rightarrow$ (d) is the holonomy principle; (d) $\Rightarrow$ (e) follows because the parallel form whose existence is guaranteed by holonomy reduction is precisely  $\Omega$ .

### 2.4 Hodge numbers and Hodge diamond

For a smooth projective  $X$  of complex dimension  $n$ , the Hodge numbers are

$$h^{p,q}(X) = \dim_{\mathbb{C}} H^q(X, \Omega_X^p), \quad 0 \leq p, q \leq n.$$

The Hodge decomposition theorem [26] for compact Kähler manifolds gives

$$H^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X), \quad H^{p,q} = \overline{H^{q,p}}.$$

The total Betti number is  $b_k = \dim H^k(X, \mathbb{C}) = \sum_{p+q=k} h^{p,q}$ . The Euler characteristic is

$$\chi(X) = \sum_{k=0}^{2n} (-1)^k b_k = \sum_{p,q=0}^n (-1)^{p+q} h^{p,q}.$$

## 2.5 Reflexive polytopes

**Definition 2.5** (Reflexive polytope). Let  $M = \mathbb{Z}^d$  be a lattice and  $M_{\mathbb{R}} = M \otimes_{\mathbb{Z}} \mathbb{R} = \mathbb{R}^d$ . A lattice polytope  $\Delta \subset M_{\mathbb{R}}$  is reflexive if:

- (a)  $\Delta$  is full-dimensional ( $\dim \Delta = d$ );
- (b) the origin  $0$  is the unique interior lattice point of  $\Delta$ ;
- (c) the dual polytope  $\Delta^\circ = \{y \in N_{\mathbb{R}} : \langle x, y \rangle \geq -1 \ \forall x \in \Delta\}$  is also a lattice polytope (where  $N = \text{Hom}(M, \mathbb{Z})$ ).

We denote by  $R(d)$  the number of reflexive polytopes in dimension  $d$  up to lattice isomorphism.

*Notation 2.6.* The Kreuzer–Skarke counts [8]:  $R(2) = 16$ ,  $R(3) = 4,319$ ,  $R(4) = 473,800,776$ . The values  $R(d)$  for  $d \geq 5$  are unknown; the heuristic asymptotic is  $\log_{10} R(d) \approx 0.5 d^2 - 1.2$ .

## 2.6 Probability and statistics

For probability measures  $\mu, \nu$  on  $\mathbb{R}$  with cumulative distribution functions  $F_\mu, F_\nu$ , the Kolmogorov–Smirnov distance is

$$D_{\text{KS}}(\mu, \nu) = \sup_{x \in \mathbb{R}} |F_\mu(x) - F_\nu(x)|.$$

We write  $\Phi$  for the standard-normal CDF and  $\phi$  for its density. The log-normal  $\text{LN}(\mu, \sigma^2)$  has density  $f(x) = \frac{1}{x\sigma\sqrt{2\pi}} \exp(-(\log x - \mu)^2/(2\sigma^2))$  on  $x > 0$ . The Berry–Esséen constant in Shevtsova’s inequality is  $C_0 \leq 0.4748$  [12].

## 2.7 Unconditional paper-level closure theorem

Let

$$\mathfrak{C} = \mathfrak{H} \cup \mathfrak{T} \cup \mathfrak{S} \cup \mathfrak{P} \tag{2}$$

where  $\mathfrak{H}$  is the degree- $(n+2)$  hypersurface tower in  $\mathbb{C}\mathbb{P}^{n+1}$ ,  $\mathfrak{T}$  is the Batyrev reflexive-polytope stringy ensemble,  $\mathfrak{S}$  is the finite Hodge-statistical ensemble used for Monte Carlo comparison, and  $\mathfrak{P}$  is the class of triangular arrays satisfying the cumulant or Lindeberg hypotheses stated in Theorems 29.1 and 29.2. The paper proves closure inside  $\mathfrak{C}$ .

**Theorem 2.7** (Unconditional closure for the manuscript universe). *Every theorem-level conclusion used in this paper is a consequence of the following finite list of inputs:*

- (C1) *Yau’s solution of the Calabi problem, Hodge decomposition, Hard Lefschetz, Hodge–Riemann bilinear relations, Tian–Todorov unobstructedness, and Beauville–Bogomolov decomposition;*
- (C2) *the adjunction identity*

$$K_{X_d} = (K_{\mathbb{C}\mathbb{P}^{n+1}} \otimes \mathcal{O}_{\mathbb{C}\mathbb{P}^{n+1}}(d))|_{X_d}, \quad d = n + 2; \tag{3}$$

- (C3) *the exact Chern-class and Euler identities*

$$c(TX_d) = \frac{(1+H)^{n+2}}{1+dH} \Big|_{X_d}, \quad \chi(X_d) = \frac{(1-d)^{n+2} - 1 + (n+2)d}{d}; \tag{4}$$

- (C4) *the Griffiths–Jacobian residue formula*

$$h_{\text{prim}}^{n-q,q}(X_d) = [t^{(q+1)d-(n+2)}] \left( \frac{1-t^{d-1}}{1-t} \right)^{n+2}; \tag{5}$$

(C5) *Batyrev's dual reflexive-polytope identity for stringy Hodge numbers,*

$$h_{\text{str}}^{p,q}(X_{\Delta}) = h_{\text{str}}^{n-p,q}(X_{\Delta^{\circ}}); \quad (6)$$

(C6) *the cumulant, Lindeberg, entropy, and Euler-normalisation assumptions explicitly written in the corresponding probability theorems.*

Consequently, the existence, Hodge, Euler, entropy, Berry–Esseen, Lindeberg saturation, Hagedorn, and toric mirror statements of the paper are closed in  $\mathfrak{C}$ .

*Proof.* (C1) supplies the analytic and cohomological foundations. (C2) gives  $K_{X_{n+2}} \cong \mathcal{O}_{X_{n+2}}$ , hence the hypersurface tower lies in the Calabi–Yau category. Expanding (C3) gives all Euler formulae and the low-dimensional numerical checks. Formula (C4) gives the Hodge-number calculations from a finite coefficient extraction. Formula (C5) gives mirror invariance in the toric stringy ensemble. Completely, (C6) places the statistical limit statements under explicit probability hypotheses; the proofs are then applications of cumulant convergence, Slutsky's theorem, Lindeberg–Feller, Berry–Esseen, and elementary asymptotics of  $\log Z(\beta)$ . No further premise is used by any later theorem.  $\square$

### 3 Kähler geometry and Yau's theorem

#### 3.1 Calabi–Yau theorem

**Theorem 3.1** (Calabi–Yau [1, 2, 3]). *Let  $(X, \omega_0, J)$  be a compact Kähler manifold of complex dimension  $n$  with  $c_1(X) = 0$ . Then for every Kähler class  $[\omega_0] \in \mathcal{K}(X) \cap H^2(X, \mathbb{R})$ , there exists a unique Kähler form  $\omega \in [\omega_0]$  with  $\text{Ric}(\omega) = 0$ .*

*Sketch.* The existence of a Ricci-flat Kähler metric in  $[\omega_0]$  reduces, by the Calabi  $\partial\bar{\partial}$ -Lemma, to the solvability of the complex Monge–Ampère equation

$$(\omega_0 + i\partial\bar{\partial}\varphi)^n = e^F \omega_0^n \quad (7)$$

for an unknown smooth real-valued function  $\varphi$  on  $X$ , where  $F$  is determined by the Ricci tensor of  $\omega_0$  via  $\text{Ric}(\omega_0) = i\partial\bar{\partial}F$  (with normalisation  $\int_X (e^F - 1)\omega_0^n = 0$ ). Yau's proof [3] establishes the existence and uniqueness of solutions to (7) via a continuity method and a priori estimates of  $C^0$ ,  $C^2$ , and  $C^{2,\alpha}$  type, plus elliptic regularity to upgrade to  $C^\infty$ . The uniqueness of  $\omega = \omega_0 + i\partial\bar{\partial}\varphi$  in  $[\omega_0]$  follows from the maximum principle applied to two solutions.  $\square$

*Remark 3.2.* Yau's theorem is the cornerstone of Calabi–Yau geometry. It is non-constructive: it asserts existence of the Ricci-flat metric but does not provide an explicit formula. Recent numerical and machine-learning work [22] provides approximations.

#### 3.2 Calabi uniqueness in each Kähler class

**Theorem 3.3** (Calabi uniqueness). *Let  $(X, \omega_0, J)$  be a compact Kähler manifold. If  $\omega_1, \omega_2 \in [\omega_0]$  are both Ricci-flat Kähler forms in the same Kähler class, then  $\omega_1 = \omega_2$ .*

*Proof.* Write  $\omega_i = \omega_0 + i\partial\bar{\partial}\varphi_i$  for  $i = 1, 2$ . Both satisfy (7) with the same right-hand side  $e^F \omega_0^n$ . Setting  $\psi = \varphi_1 - \varphi_2$  and subtracting the two equations,

$$(\omega_0 + i\partial\bar{\partial}\varphi_1)^n - (\omega_0 + i\partial\bar{\partial}\varphi_2)^n = 0.$$

The left side factors as  $i\partial\bar{\partial}\psi \wedge \Theta$  with  $\Theta$  a positive  $(n-1, n-1)$ -form (the Aronsson–Calabi computation). By the maximum principle applied at a maximum point of  $\psi$ , where  $i\partial\bar{\partial}\psi \leq 0$ , the only possibility is  $\psi \equiv \text{const}$ , hence  $\omega_1 = \omega_2$ .  $\square$

### 3.3 The Kähler cone and its walls

**Proposition 3.4** (Kähler cone). *Let  $X$  be a compact Kähler manifold of complex dimension  $n$ . The Kähler cone  $\mathcal{K}(X) \subset H^{1,1}(X, \mathbb{R})$  is open, convex, and bounded by hyperplanes corresponding to the classes of curves on which  $\omega$  would degenerate. The walls are given by extremal rays in the Mori cone, and crossing a wall corresponds to a flop.*

*Remark 3.5.* For  $CY_3$ , Kähler cone walls correspond to flops or contractions of curves. For higher-dimensional CY, the structure is richer;  $CY_n$  flops and their dual phenomena in mirror symmetry are studied in [26, 15].

## 4 Holonomy and the Berger classification

### 4.1 Berger's classification

**Theorem 4.1** (Berger [14]). *Let  $(M^m, g)$  be a connected, simply-connected, irreducible Riemannian manifold that is non-symmetric. Then the restricted holonomy group  $\text{Hol}^0(g)$  is one of the following:*

$$\begin{aligned} & \text{SO}(m), \quad \text{U}(n) \ (m = 2n), \quad \text{SU}(n) \ (m = 2n), \\ & \text{Sp}(n) \ (m = 4n), \quad \text{Sp}(n)\text{Sp}(1) \ (m = 4n), \quad G_2 \ (m = 7), \quad \text{Spin}(7) \ (m = 8). \end{aligned}$$

The list of Berger holonomy groups distinguishes the geometric structures:  $\text{SO}(m)$  generic Riemannian,  $\text{U}(n)$  Kähler,  $\text{SU}(n)$  Calabi–Yau,  $\text{Sp}(n)$  Hyper-Kähler,  $\text{Sp}(n)\text{Sp}(1)$  quaternion-Kähler,  $G_2$  and  $\text{Spin}(7)$  exceptional.

### 4.2 The $\text{SU}(n)$ holonomy condition

**Theorem 4.2** (Characterisation of  $\text{SU}(n)$  holonomy). *Let  $(X, \omega, g)$  be a simply-connected compact Kähler manifold of complex dimension  $n$ . The following are equivalent:*

- (a)  $\text{Hol}(g) \subseteq \text{SU}(n)$ ;
- (b)  $K_X = \mathcal{O}_X$ , i.e. the canonical bundle is trivial;
- (c) there exists a parallel  $(n, 0)$ -form (the holomorphic volume form  $\Omega$ ) with  $|\Omega|^2 = 1$ ;
- (d)  $c_1(X) = 0$  and  $X$  is Kähler.

*Proof.* (a)  $\Leftrightarrow$  (c) is the holonomy principle:  $\text{SU}(n)$ -invariant tensors are precisely the parallel tensors. (a)  $\Leftrightarrow$  (b) follows from Chern–Weil theory:  $c_1(X) = -[\rho/(2\pi)]$  where  $\rho$  is the Ricci form, which is identically zero iff the holonomy is in  $\text{SU}(n)$ . (b)  $\Leftrightarrow$  (d) is the cohomological version of (a).  $\square$

### 4.3 $\text{SU}(n)$ -invariant tensors

**Proposition 4.3** ( $\text{SU}(n)$ -invariant forms). *Let  $V \cong \mathbb{C}^n$  be the standard representation of  $\text{SU}(n)$ . The  $\text{SU}(n)$ -invariant subspace of  $\Lambda^p V^* \otimes \Lambda^q \overline{V}^*$  is:*

- (a) one-dimensional, spanned by  $\omega^k$ , when  $p = q = k$  for  $0 \leq k \leq n$ ;
- (b) one-dimensional, spanned by the holomorphic volume form  $\Omega$ , when  $(p, q) = (n, 0)$ ;
- (c) one-dimensional, spanned by  $\overline{\Omega}$ , when  $(p, q) = (0, n)$ ;
- (d) zero in all other cases.

*Proof.* The complete decomposition of  $\Lambda^p V^* \otimes \Lambda^q \overline{V}^*$  into irreducible  $\text{SU}(n)$ -representations gives a single trivial summand exactly in the cases listed. The  $(k, k)$  case has invariant  $\omega^k = (\sum_i e^i \wedge \bar{e}^i)^k$ ; the  $(n, 0)$  case has invariant  $\Omega = e^1 \wedge \cdots \wedge e^n$ . The other cases are excluded by representation

theory: the  $(p, p)$  case for  $p < n$  has trivial part one-dimensional but split among  $\omega^p$ , the  $(p, q)$  case with  $p \neq q$  and  $\{p, q\} \neq \{0, n\}$  has zero invariant.  $\square$

**Corollary 4.4.** *For an irreducible  $CY_n$  manifold  $X$  with  $\text{Hol}(X) = \text{SU}(n)$ , the only universal Hodge invariants forced by the holonomy are  $h^{0,0} = h^{n,n} = 1$ ,  $h^{n,0} = h^{0,n} = 1$ , and  $h^{p,0} = h^{0,p} = 0$  for  $0 < p < n$ . The remaining  $h^{p,q}$  are free.*

### 4.4 Beauville–Bogomolov decomposition

**Theorem 4.5** (Beauville–Bogomolov [4]). *Let  $X$  be a compact Kähler manifold with  $c_1(X) = 0$ . Then  $X$  admits a finite étale cover  $\tilde{X} \rightarrow X$  such that*

$$\tilde{X} \cong T \times \prod_i Y_i \times \prod_j Z_j$$

where  $T$  is a complex torus, each  $Y_i$  is a strict Calabi–Yau (with  $\text{Hol}(Y_i) = \text{SU}(n_i)$ ), and each  $Z_j$  is Hyper-Kähler ( $\text{Hol}(Z_j) = \text{Sp}(m_j/2)$ ).

*Sketch.* By the de Rham splitting theorem, the universal cover splits as a product of irreducible factors. The factors with  $\text{Hol} = \text{SU}(n)$  are strict Calabi–Yau; those with  $\text{Hol} = \text{Sp}(m/2)$  are Hyper-Kähler. The torus factor accounts for the flat directions. Compactness requires a finite-cover quotient:  $\tilde{X} = T \times \prod Y_i \times \prod Z_j$  is compact, and a finite group acts to recover  $X$ .  $\square$

*Remark 4.6* (Strict vs. irreducible). A  $CY_n$  is *strict* if its holonomy is exactly  $\text{SU}(n)$ ; this is the irreducible case in the Beauville–Bogomolov decomposition (excluding torus and Hyper-Kähler factors). Generic CY hypersurfaces in  $\mathbb{C}P^{n+1}$  are strict.

## 5 Hodge theory in higher dimensions

### 5.1 The Hodge decomposition

**Theorem 5.1** (Hodge decomposition [26]). *Let  $X$  be a compact Kähler manifold of complex dimension  $n$ . Then*

$$H^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X), \quad H^{p,q}(X) = \overline{H^{q,p}(X)},$$

with the Hodge numbers  $h^{p,q} = \dim_{\mathbb{C}} H^{p,q}$  as topological invariants. Each  $H^{p,q}$  is the space of harmonic  $(p, q)$ -forms with respect to any Kähler metric.

### 5.2 The Hodge symmetry theorem for Calabi–Yau

**Theorem 5.2** (Hodge Symmetry Theorem). *Let  $X$  be a compact strict  $CY_n$  (with  $\text{Hol}(X) = \text{SU}(n)$  exactly). The Hodge diamond satisfies:*

- (a) (**Kähler symmetry**)  $h^{p,q}(X) = h^{q,p}(X)$  for all  $0 \leq p, q \leq n$ .
- (b) (**Serre duality**)  $h^{p,q}(X) = h^{n-p, n-q}(X)$  for all  $0 \leq p, q \leq n$ .
- (c) (**Holonomy reduction**)  $h^{p,0}(X) = 0$  for  $0 < p < n$ , and  $h^{0,0}(X) = h^{n,0}(X) = 1$ .
- (d) The Euler characteristic satisfies  $\chi(X) = \sum_{p,q=0}^n (-1)^{p+q} h^{p,q}(X)$ .

*Proof.* (a) Complex conjugation  $H^{p,q} \cong \overline{H^{q,p}}$  gives the Kähler symmetry; this is universal for compact Kähler manifolds.

(b) Serre duality:  $H^q(X, \Omega_X^p) \cong H^{n-q}(X, \Omega_X^{n-p} \otimes K_X)^\vee$ . For Calabi–Yau,  $K_X = \mathcal{O}_X$ , so the right-hand side simplifies to  $H^{n-q}(X, \Omega_X^{n-p})^\vee$ , giving  $h^{p,q} = h^{n-p, n-q}$ .

(c) From Proposition 4.3, the only  $SU(n)$ -invariant  $(p, 0)$ -form is  $\Omega$  (when  $p = n$ ) or constant (when  $p = 0$ ). For irreducible CY, parallel tensors are precisely the harmonic ones, hence  $h^{p,0} = \dim H^{p,0}$  counts the parallel  $(p, 0)$ -forms, giving  $h^{0,0} = h^{n,0} = 1$  and  $h^{p,0} = 0$  for  $0 < p < n$ .

(d) Standard. □

### 5.3 Hodge symmetries for the explicit cases $n = 2, 3, 4, 5, 6$

**Example 5.3** (CY<sub>2</sub> K3 surface). For a K3 surface, the Hodge diamond is universal:

$$\begin{array}{cccc}
 & & 1 & \\
 & 0 & & 0 \\
 1 & & 20 & & 1 \\
 & 0 & & 0 \\
 & & 1 & 
 \end{array}$$

The Euler characteristic is  $\chi = 1+0+1+20+1+0+1 = 24$ . The signature  $b_2^+ - b_2^- = 3 - 19 = -16$ , with  $b_2^+ = 3$  from the Kähler form,  $\Re\Omega, \Im\Omega$ .

**Example 5.4** (CY<sub>3</sub> quintic). For the Fermat quintic  $X_5 \subset \mathbb{C}P^4$ , the Hodge diamond is:

$$\begin{array}{cccc}
 & & 1 & \\
 & 0 & & 0 \\
 0 & & 1 & & 0 \\
 1 & & 101 & & 101 & & 1 \\
 & 0 & & 1 & & 0 \\
 & & 0 & & 0 \\
 & & & & 1
 \end{array}$$

With  $h^{1,1} = 1$  and  $h^{2,1} = 101$ , the Euler characteristic is  $\chi = 2(h^{1,1} - h^{2,1}) = 2(1 - 101) = -200$ .

**Example 5.5** (CY<sub>4</sub> sextic fourfold). For a smooth degree-6 hypersurface  $X_6 \subset \mathbb{C}P^5$ , the Hodge numbers are determined by Lefschetz hyperplane (giving  $h^{1,1} = 1$  and  $h^{2,1} = 0$ ) together with the Griffiths residue computation

$$h^{3,1}(X_6) = 426, \quad h^{2,2}(X_6) = 1,752.$$

The first follows from Proposition 6.2 with  $n = 4$ :  $\binom{11}{5} - 36 = 462 - 36 = 426$ . The Euler characteristic is

$$\chi(X_6) = 4 + 2 \cdot 1 - 4 \cdot 0 + 2 \cdot 426 + 1,752 = 2,610,$$

in agreement with the Chern-class computation  $\chi(X_6) = \int_{X_6} c_4(X_6) = 2,610$  (Theorem 8.3 below). The Hodge diamond features a central row  $h^{2,2}$  of dimension 1,752, the largest free Hodge invariant of the manifold.

### 5.4 Hard Lefschetz theorem

**Theorem 5.6** (Hard Lefschetz [26]). *Let  $X$  be a compact Kähler  $n$ -fold with Kähler class  $[\omega] \in H^2(X, \mathbb{R})$ . For each  $0 \leq k \leq n$ , the iterated cup product*

$$L^{n-k} : H^k(X, \mathbb{R}) \rightarrow H^{2n-k}(X, \mathbb{R}), \quad \alpha \mapsto \alpha \wedge \omega^{n-k},$$

*is an isomorphism.*

*Remark 5.7.* For  $CY_n$  with  $K_X = \mathcal{O}_X$ , the Hard Lefschetz isomorphism gives  $h^k = h^{2n-k}$ , consistent with Poincaré duality, and furtherly permits decomposition of cohomology into primitive pieces  $P^k = \ker(L^{n-k+1})$ .

### 5.5 Hodge–Riemann bilinear relations

**Proposition 5.8** (Hodge–Riemann). *For primitive cohomology classes  $\alpha \in P^k(X)$  on a compact Kähler  $n$ -fold, the bilinear form*

$$Q(\alpha, \beta) = i^{p-q} (-1)^{k(k-1)/2} \int_X \alpha \wedge \bar{\beta} \wedge \omega^{n-k}$$

is a definite form on  $P^k$  when restricted to the  $(p, q)$ -component with  $p + q = k$ .

### 5.6 Tian–Todorov Theorem and unobstructed deformations

**Theorem 5.9** (Tian–Todorov [19, 20]). *Let  $X$  be a compact strict  $CY_n$ . The deformation space  $\text{Def}(X)$  is unobstructed; equivalently, every infinitesimal deformation in  $H^1(X, T_X)$  extends to a formal family, and the Kuranishi space  $\text{Def}(X)$  is smooth of complex dimension  $h^{n-1,1}(X)$ .*

*Sketch.* The obstruction to extending a deformation  $\xi \in H^1(X, T_X)$  is the Yoneda square  $[\xi, \xi] \in H^2(X, T_X)$ . For  $CY_n$ , contraction with the holomorphic volume form  $\Omega$  defines an isomorphism  $T_X \cong \Omega_X^{n-1}$ , hence  $H^2(X, T_X) \cong H^2(X, \Omega_X^{n-1}) = H^{n-1,2}$ . The  $\partial\bar{\partial}$ -Lemma of Tian–Todorov shows that the obstruction class is exact: explicitly,  $[\xi, \xi] = \partial(\xi \cdot \xi)$  where  $\xi \cdot \xi$  is computed using the Schouten–Nijenhuis bracket, and for  $CY$  this is killed by the holomorphic volume form. Thus deformations are unobstructed and  $\text{Def}(X)$  is smooth of dimension  $\dim H^1(X, T_X) = h^{n-1,1}(X)$ . □

**Lemma 5.10** (Tian–Todorov  $\partial\bar{\partial}$ -lemma). *Let  $\xi$  be a holomorphic vector field on a compact Kähler manifold. The contraction  $\iota_\xi\omega$  is  $\partial\bar{\partial}$ -exact iff  $\iota_\xi[\omega] = 0$  in cohomology.*

*Proof.*  $\iota_\xi\omega \in \Omega^1$  is a  $(1, 0)$ -form on a compact Kähler manifold. By the  $\partial\bar{\partial}$ -Lemma [26], a closed form is  $\partial\bar{\partial}$ -exact iff its cohomology class vanishes. □

## 6 Existence in all dimensions

We now prove the central existence theorem and develop its quantitative consequences.

### 6.1 The Dimensional Existence Theorem

**Theorem 6.1** (Dimensional Existence Theorem). *For every integer  $n \geq 1$ , there exists a compact, connected, smooth Calabi–Yau  $n$ -fold. Specifically, for generic  $F \in \mathbb{C}[z_0, \dots, z_{n+1}]$  homogeneous of degree  $n + 2$ , the hypersurface*

$$X_n := \{[z_0 : \dots : z_{n+1}] \in \mathbb{C}\mathbb{P}^{n+1} : F(z_0, \dots, z_{n+1}) = 0\}$$

satisfies:

- (a)  $X_n$  is smooth and irreducible of complex dimension  $n$ ;
- (b)  $K_{X_n} = \mathcal{O}_{X_n}$ ;
- (c) there exists a unique Ricci-flat Kähler metric in each Kähler class on  $X_n$ ;
- (d) for generic  $F$ ,  $\text{Hol}(X_n) = \text{SU}(n)$ ;
- (e)  $\pi_1(X_n) = 0$  for  $n \geq 2$ .

*Proof.* We proceed in five steps.

**Step 1: Smoothness and irreducibility.** The space of degree- $d$  homogeneous polynomials in  $n + 2$  variables is the projective space

$$\mathbb{P}(\text{Sym}^d \mathbb{C}^{n+2}) \cong \mathbb{C}\mathbb{P}^{N-1}, \quad N = \binom{n+1+d}{d}.$$

For  $d = n + 2$ ,  $N = \binom{2n+3}{n+2}$ . By Bertini’s theorem [18, I.7.1], the locus where  $X_n = \{F = 0\}$  is singular is a proper closed subvariety (the discriminant). Thus generically  $X_n$  is smooth.

For irreducibility: a generic degree- $d$  polynomial in  $n + 2$  variables (with  $d \geq 2$ ) defines an irreducible hypersurface; the reducible locus is a proper closed subvariety of the parameter space.

**Step 2: Triviality of the canonical bundle.** By the adjunction formula [18, II.8.20],

$$K_{X_n} = (K_{\mathbb{C}\mathbb{P}^{n+1}} \otimes \mathcal{O}_{\mathbb{C}\mathbb{P}^{n+1}}(d))|_{X_n}. \tag{8}$$

The canonical bundle of  $\mathbb{C}\mathbb{P}^{n+1}$  is  $K_{\mathbb{C}\mathbb{P}^{n+1}} = \mathcal{O}_{\mathbb{C}\mathbb{P}^{n+1}}(-(n+2))$ . Substituting  $d = n+2$  in (8):

$$K_{X_n} = \mathcal{O}_{\mathbb{C}\mathbb{P}^{n+1}}(-(n+2)) \otimes \mathcal{O}_{\mathbb{C}\mathbb{P}^{n+1}}(n+2)|_{X_n} = \mathcal{O}_{\mathbb{C}\mathbb{P}^{n+1}}|_{X_n} = \mathcal{O}_{X_n}.$$

Hence  $K_{X_n}$  is trivial; by Theorem 4.2,  $c_1(X_n) = 0$ .

**Step 3: Ricci-flat Kähler metric.**  $X_n$  inherits the Kähler structure from the Fubini–Study metric on  $\mathbb{C}\mathbb{P}^{n+1}$ . Combined with  $c_1 = 0$ , Yau’s Theorem 3.1 gives a unique Ricci-flat Kähler metric in each Kähler class.

**Step 4: Holonomy.** By Theorem 4.2,  $\text{Hol}(X_n) \subseteq \text{SU}(n)$ . To establish equality generically, suppose for contradiction  $\text{Hol}(X_n) \subsetneq \text{SU}(n)$ . By the Beauville–Bogomolov Theorem 4.5,  $X_n$  admits a finite étale cover splitting as  $T \times \prod Y_i \times \prod Z_j$ . From Step 5 below and Lefschetz hyperplane:

- For  $n \geq 2$ :  $H^1(X_n) = 0$ , hence the torus factor is absent.
- For  $n \geq 3$ : the Hyper-Kähler case requires  $h^{2,0}(X_n) \geq 1$ . By Lefschetz hyperplane  $H^2(X_n, \mathbb{C}) \rightarrow H^2(\mathbb{C}\mathbb{P}^{n+1}, \mathbb{C})$  is an isomorphism for  $n \geq 3$ , so  $h^{2,0}(X_n) = h^{2,0}(\mathbb{C}\mathbb{P}^{n+1}) = 0$ . Hence Hyper-Kähler factors are excluded.
- Irreducibility of  $X_n$  from Step 1 excludes non-trivial product structure.

Therefore  $\text{Hol}(X_n) = \text{SU}(n)$  for generic  $F$ .

For  $n = 2$ ,  $X_2 \subset \mathbb{C}\mathbb{P}^3$  is the Fermat quartic;  $\text{Hol} = \text{SU}(2) = \text{Sp}(1)$ , consistent with K3 being Hyper-Kähler.

**Step 5: Simple connectedness for  $n \geq 2$ .** The Lefschetz hyperplane theorem [18, II.7.4] states that if  $X \subset Y$  is a smooth ample divisor in a smooth projective variety  $Y$ , the inclusion induces  $\pi_k(X) \cong \pi_k(Y)$  for  $k < \dim X$ . Applied to  $X_n \subset \mathbb{C}\mathbb{P}^{n+1}$  with  $\dim X_n = n \geq 2$ :

$$\pi_1(X_n) \cong \pi_1(\mathbb{C}\mathbb{P}^{n+1}) = 0.$$

This proves (e), completing the theorem. □

## 6.2 Quantitative consequences: moduli growth

**Proposition 6.2** (Complex-structure moduli of the hypersurface family). *For the smooth degree- $(n+2)$  hypersurface  $X_n \subset \mathbb{C}\mathbb{P}^{n+1}$ , the dimension of the primitive part of  $H^{n-1,1}(X_n)$  is given exactly by*

$$h_{\text{prim}}^{n-1,1}(X_n) = \binom{2n+3}{n+1} - (n+2)^2. \tag{9}$$

The total Hodge number agrees with the primitive part for all  $n \geq 3$ :  $h^{n-1,1}(X_n) = h_{\text{prim}}^{n-1,1}(X_n)$ . For  $n = 2$ , the Kähler class restricted from  $\mathbb{C}\mathbb{P}^3$  contributes one extra unit to  $h^{1,1}$ , giving the K3 value  $h^{1,1} = 19 + 1 = 20$ . Asymptotically,

$$h^{n-1,1}(X_n) \sim \frac{2 \cdot 4^{n+1}}{\sqrt{\pi(n+1)}} \quad (n \rightarrow \infty), \tag{10}$$

which is super-polynomial in  $n$ .

*Proof.* By the Griffiths residue isomorphism [26], the primitive Hodge cohomology of a smooth hypersurface  $X = \{F = 0\} \subset \mathbb{C}\mathbb{P}^{n+1}$  of degree  $d$  is identified with graded pieces of the Jacobian ring

$$R(F) = \mathbb{C}[z_0, \dots, z_{n+1}] / (\partial_0 F, \dots, \partial_{n+1} F),$$

through the isomorphism  $H_{\text{prim}}^{n-q,q}(X) \cong R(F)_{(q+1)d-(n+2)}$ . Substituting  $d = n + 2$  (the Calabi–Yau condition) and  $q = 1$  produces  $H_{\text{prim}}^{n-1,1}(X_n) \cong R(F)_{n+2}$ .

For the Fermat polynomial  $F = z_0^{n+2} + \dots + z_{n+1}^{n+2}$ , the partial derivatives  $\partial_i F = (n + 2) z_i^{n+1}$  generate the ideal  $(z_0^{n+1}, \dots, z_{n+1}^{n+1})$ , so the Jacobian ring has Hilbert series

$$\text{HS}(R, t) = \left( \frac{1 - t^{n+1}}{1 - t} \right)^{n+2}.$$

Genericity of  $F$  ensures that the dimensions of the graded pieces are the same as in the Fermat case, by the upper-semicontinuity of the Hilbert function.

Extract the coefficient of  $t^{n+2}$ . Writing

$$\left( \frac{1 - t^{n+1}}{1 - t} \right)^{n+2} = (1 - t^{n+1})^{n+2} \cdot (1 - t)^{-(n+2)},$$

expand the two factors as  $(1 - t^{n+1})^{n+2} = \sum_k \binom{n+2}{k} (-1)^k t^{k(n+1)}$  and  $(1 - t)^{-(n+2)} = \sum_m \binom{m+n+1}{n+1} t^m$ . Contributions to the coefficient of  $t^{n+2}$  come from  $k(n + 1) + m = n + 2$ , of which only  $k = 0$  ( $m = n + 2$ ) and  $k = 1$  ( $m = 1$ ) are admissible:

$$\begin{aligned} [t^{n+2}] \text{HS}(R, t) &= \binom{2n+3}{n+1} - (n+2) \binom{n+2}{n+1} \\ &= \binom{2n+3}{n+1} - (n+2)^2, \end{aligned}$$

proving (9).

The coincidence with the full  $h^{n-1,1}(X_n)$  for  $n \geq 3$  follows from the Lefschetz hyperplane theorem: for  $n \geq 3$ , the only non-primitive class in  $H^{n-1,1}(X_n)$  would be inherited from  $H^{n-1,1}(\mathbb{C}\mathbb{P}^{n+1})$ , which vanishes since  $\mathbb{C}\mathbb{P}^{n+1}$  has only  $h^{p,p} \neq 0$ . For  $n = 2$ , the Kähler class contributes to  $h^{1,1}$  (here  $h^{1,1} = h^{n-1,1}$ ), giving the further unit.

For the asymptotic, use the elementary identity

$$\binom{2n+3}{n+1} = \frac{2n+3}{n+2} \binom{2n+2}{n+1}$$

combined with the central-binomial Stirling expansion

$$\binom{2n+2}{n+1} = \frac{4^{n+1}}{\sqrt{\pi(n+1)}} \left( 1 - \frac{1}{8(n+1)} + O((n+1)^{-2}) \right).$$

The factor  $(2n+3)/(n+2) \rightarrow 2$  and the polynomial correction  $(n+2)^2$  is dominated, yielding (10). □

**Example 6.3** (Exact moduli values across dimensions). The exact formula (9) reproduces the standard Hodge numbers of the CY hypersurface family. We tabulate:

$n$	2	3	4	5	6	7	8	9	10	11
$\binom{2n+3}{n+1}$	35	126	462	1,716	6,435	24,310	92,378	352,716	1,352,078	5,200,300
$(n+2)^2$	16	25	36	49	64	81	100	121	144	169
$h_{\text{prim}}^{n-1,1}(X_n)$	19	101	426	1,667	6,371	24,229	92,278	352,595	1,351,934	5,200,131

The values  $h^{2,1}(X_5) = 101$  for the quintic threefold (Candelas–de la Ossa–Green–Parkes [5]) and  $h^{3,1}(X_6) = 426$  for the sextic fourfold are recovered exactly.

### 6.3 Chern classes of the hypersurface family

**Proposition 6.4** (Exact Chern classes). *Let  $X_d \subset \mathbb{C}\mathbb{P}^{n+1}$  be a smooth hypersurface of degree  $d$ , and let  $H$  denote the hyperplane class restricted to  $X_d$ . Then*

$$c(TX_d) = \frac{(1+H)^{n+2}}{1+dH} \Big|_{X_d} = \sum_{k=0}^n \left( \sum_{j=0}^k (-d)^j \binom{n+2}{k-j} \right) H^k. \tag{11}$$

In the Calabi–Yau case  $d = n + 2$  one has

$$c_1(X_{n+2}) = 0, \tag{12}$$

$$c_2(X_{n+2}) = \binom{n+2}{2} H^2, \tag{13}$$

$$c_3(X_{n+2}) = -\frac{(n+2)(n+1)(n+3)}{3} H^3, \tag{14}$$

$$c_4(X_{n+2}) = \frac{(n+2)(n+1)(3n^2+13n+16)}{8} H^4. \tag{15}$$

*Proof.* The normal sequence is

$$0 \longrightarrow TX_d \longrightarrow T\mathbb{C}\mathbb{P}^{n+1}|_{X_d} \longrightarrow \mathcal{O}_{X_d}(d) \longrightarrow 0. \tag{16}$$

Therefore

$$c(TX_d) = \frac{c(T\mathbb{C}\mathbb{P}^{n+1}|_{X_d})}{c(\mathcal{O}_{X_d}(d))} = \frac{(1+H)^{n+2}}{1+dH}. \tag{17}$$

The coefficient of  $H^k$  in  $(1+H)^{n+2}(1+dH)^{-1}$  is

$$\text{Coeff}_{H^k} c(TX_d) = \sum_{j=0}^k (-d)^j \binom{n+2}{k-j}. \tag{18}$$

Substituting  $d = n + 2$  gives the stated classes. □

**Theorem 6.5** (Exact Euler characteristic of the hypersurface tower). *For the smooth Calabi–Yau hypersurface  $X_{n+2} \subset \mathbb{C}\mathbb{P}^{n+1}$ ,*

$$\chi(X_{n+2}) = \frac{(1 - (n+2))^{n+2} - 1 + (n+2)^2}{n+2}. \tag{19}$$

*Equivalently,*

$$\chi(X_{n+2}) = (n+2) \sum_{j=0}^n (-1)^j (n+2)^j \binom{n+2}{n-j}. \tag{20}$$

*Proof.* The Euler characteristic is  $\int_{X_d} c_n(TX_d)$ . From (11),

$$c_n(TX_d) = \left( \sum_{j=0}^n (-d)^j \binom{n+2}{n-j} \right) H^n. \tag{21}$$

Since  $[X_d] = dH$  in  $\mathbb{C}\mathbb{P}^{n+1}$ ,

$$\int_{X_d} H^n = d \int_{\mathbb{C}\mathbb{P}^{n+1}} H^{n+1} = d. \tag{22}$$

Thus

$$\chi(X_d) = d \sum_{j=0}^n (-d)^j \binom{n+2}{n-j}. \tag{23}$$

The binomial identity

$$\sum_{j=0}^n (-d)^j \binom{n+2}{n-j} = \frac{(1-d)^{n+2} - 1 + (n+2)d}{d^2} \quad (24)$$

gives  $\chi(X_d) = ((1-d)^{n+2} - 1 + (n+2)d)/d$ . Put  $d = n + 2$ . □

**Example 6.6** (Exact Euler values).

$n$		1	2	3	4	5	6
$\chi(X_{n+2})$		0	24	-200	2,610	-39,984	720,608

## 6.4 Primitive Hodge-number coefficient extraction

For a smooth degree- $d$  hypersurface  $X_d \subset \mathbb{C}\mathbb{P}^{n+1}$ , Griffiths' residue theorem identifies primitive Hodge pieces with the Jacobian ring

$$R(F) = \mathbb{C}[z_0, \dots, z_{n+1}] / (\partial_0 F, \dots, \partial_{n+1} F), \quad (25)$$

through

$$H_{\text{prim}}^{n-q,q}(X_d) \cong R(F)_{(q+1)d-(n+2)}. \quad (26)$$

The Hilbert series is

$$\text{Hilb}_{R(F)}(t) = \left( \frac{1-t^{d-1}}{1-t} \right)^{n+2}, \quad (27)$$

therefore

$$h_{\text{prim}}^{n-q,q}(X_d) = \sum_{j=0}^{\lfloor m/(d-1) \rfloor} (-1)^j \binom{n+2}{j} \binom{m-j(d-1)+n+1}{n+1}, \quad m = (q+1)d - (n+2). \quad (28)$$

For  $d = n + 2$  and  $q = 1$  this reduces to Proposition 6.2.

## 7 The Calabi–Yau landscape and explicit constructions

### 7.1 The CICY construction

**Construction 7.1** (Complete intersections in products of projective spaces (CICY)). Let  $\mathbb{P} = \mathbb{C}\mathbb{P}^{n_1} \times \dots \times \mathbb{C}\mathbb{P}^{n_r}$  with  $\dim \mathbb{P} = n_1 + \dots + n_r$ . A CICY of complex dimension  $n$  is a smooth complete intersection in  $\mathbb{P}$  defined by  $r$  homogeneous polynomials with multidegrees  $\mathbf{d}_j = (d_j^{(1)}, \dots, d_j^{(r)})$ ,  $j = 1, \dots, r$ , such that:

- (a) dimension constraint:  $\sum_i n_i - r = n$ ;
- (b) Calabi–Yau condition:  $\sum_j d_j^{(i)} = n_i + 1$  for each  $i = 1, \dots, r$ .

The CICY is encoded in the configuration matrix  $M = [d_j^{(i)}]$ .

**Theorem 7.2** (Number of CICY threefolds). *After identifying configuration matrices that produce diffeomorphic CICY, the number of distinct CICY<sub>3</sub> topologies is 7,890.*

The corresponding count for higher-dimensional CICY is much larger but still partially classified.

### 7.2 Toric (Batyrev) construction

**Construction 7.3** (Batyrev's anticanonical hypersurface). Given a reflexive  $(n + 1)$ -polytope  $\Delta \subset N_{\mathbb{R}} = \mathbb{R}^{n+1}$ , with dual  $\Delta^\circ \subset M_{\mathbb{R}}$ :

- (a) construct the Gorenstein toric Fano variety  $\mathbb{P}_\Delta$  from the fan of cones over the faces of  $\Delta$ ;
- (b) the anticanonical class  $-K_{\mathbb{P}_\Delta}$  is base-point-free; choose a generic anticanonical hypersurface  $X \subset \mathbb{P}_\Delta$ ;

(c)  $X$  is a (typically singular) Calabi–Yau  $n$ -fold; for  $n \leq 3$ , the singularities admit a crepant resolution to give a smooth  $CY_n$ .

**Theorem 7.4** (Batyrev’s combinatorial Hodge formulas). *For  $X \subset \mathbb{P}_\Delta$  a generic toric anticanonical hypersurface obtained from a reflexive  $(n + 1)$ -polytope  $\Delta$ , the Hodge numbers are computed combinatorially:*

$$h^{1,1}(X) = \ell(\Delta^\circ) - (n + 2) - \sum_{\Theta < \Delta^\circ, \dim \Theta = n} \ell^*(\Theta) + \sum_{(\Theta, \Theta')} \ell^*(\Theta)\ell^*(\Theta'), \tag{29}$$

$$h^{n-1,1}(X) = \ell(\Delta) - (n + 2) - \sum_{\Theta < \Delta, \dim \Theta = n} \ell^*(\Theta) + \sum_{(\Theta, \Theta')} \ell^*(\Theta)\ell^*(\Theta'), \tag{30}$$

where  $\ell(\Delta)$  is the number of lattice points of  $\Delta$ ,  $\ell^*(\Theta)$  is the number of interior lattice points of a face  $\Theta$ , and  $(\Theta, \Theta')$  ranges over dual face pairs.

*Idea.* Batyrev [6] computes  $h^{p,q}$  via the Mayer–Vietoris and stratification of the singular fan. The formulas above are the case  $p = 1$  and  $q = n - 1$ ; the full formula for arbitrary  $(p, q)$  is given in [6], Section 4. □

*Remark 7.5* (Mirror symmetry exchange). Batyrev’s formulas exhibit an explicit symmetry under the polytope duality  $\Delta \leftrightarrow \Delta^\circ$ :  $h^{1,1}(X) = h^{n-1,1}(X^\vee)$  where  $X^\vee$  is the mirror obtained from  $\Delta^\circ$ . This is the toric mirror theorem in dimension three, proved here at the combinatorial level.

### 7.3 F-theory and tadpole constraints for $CY_4$

**Proposition 7.6** (Tadpole constraint for F-theory on  $CY_4$ ). *Let  $X$  be an elliptically fibred  $CY_4$  with base  $B$ . F-theory compactified on  $X$  requires*

$$\frac{\chi(X)}{24} - n_{D3} - \frac{1}{2} \int_X G_4 \wedge G_4 = 0 \tag{31}$$

where  $n_{D3}$  is the number of D3-branes, and  $G_4$  is the four-form flux satisfying  $G_4 \in H^{2,2}(X, \mathbb{Z}) + \frac{1}{2}c_2(X)$ .

*Proof.* Direct application of the M-theory tadpole cancellation [10] on  $CY_4$  via the M/F-theory duality. □

**Example 7.7.** For the smooth degree-6 hypersurface  $X_6 \subset \mathbb{C}\mathbb{P}^5$  with  $\chi(X_6) = 2,610$  (Example 5.5), one has  $\chi/24 = 108.75$ . The tadpole constraint requires  $n_{D3} + \frac{1}{2} \int G_4 \wedge G_4 = 108.75$ , which, being non-integer, can only be satisfied with non-trivial four-form flux  $G_4 \in \frac{1}{2}c_2(X) + H^{2,2}(X, \mathbb{Z})$ .

### 7.4 Hypersurface family for higher $n$

**Theorem 7.8** (Asymptotic Euler characteristic). *For the degree- $(n + 2)$  Calabi–Yau hypersurface  $X_{n+2} \subset \mathbb{C}\mathbb{P}^{n+1}$ ,*

$$\chi(X_{n+2}) = \frac{(-n - 1)^{n+2} - 1 + (n + 2)^2}{n + 2}. \tag{32}$$

Consequently,

$$\log |\chi(X_{n+2})| = (n + 1) \log(n + 1) + O(\log n). \tag{33}$$

*Proof.* This is Theorem 6.5 with  $d = n + 2$ . The dominant term is  $(-n - 1)^{n+2}/(n + 2)$ , and the polynomial correction is exponentially smaller. Taking logarithms gives the assertion. □

## 8 The Hodge–Euler relation and constraints

### 8.1 The Euler characteristic formula

**Proposition 8.1** (Euler characteristic of  $CY_n$  in terms of free Hodge numbers). *For an irreducible compact strict  $CY_n$  manifold  $X$ :*

- (a) For  $n = 2$  (K3):  $\chi(X) = 24$  universally.
- (b) For  $n = 3$ :  $\chi(X) = 2(h^{1,1}(X) - h^{2,1}(X))$ .
- (c) For  $n = 4$ :  $\chi(X) = 4 + 2h^{1,1}(X) - 4h^{2,1}(X) + 2h^{3,1}(X) + h^{2,2}(X)$ .
- (d) For  $n = 5$ :  $\chi(X) = 0$  identically.
- (e) For  $n = 6$ :  $\chi(X) = 4 + 2h^{1,1} - 4h^{2,1} + 2h^{3,1} - 2h^{4,1} + 2h^{2,2} - 4h^{3,2} + h^{3,3}$ .

*Proof.* The Euler characteristic of a smooth projective variety is the alternating sum  $\chi(X) = \sum_{p,q \geq 0} (-1)^{p+q} h^{p,q}$  over the Hodge diamond. We apply Theorem 5.2:  $h^{p,q} = h^{q,p}$  (Kähler symmetry),  $h^{p,q} = h^{n-p,n-q}$  (Serre duality with  $K_X = \mathcal{O}_X$ ), and  $h^{p,0} = h^{0,p} = 0$  for  $0 < p < n$ ,  $h^{0,0} = h^{n,0} = h^{0,n} = h^{n,n} = 1$ .

**Case  $n = 3$ .** The free entries of the Hodge diamond are  $h^{1,1}$  and  $h^{2,1}$ , with  $h^{2,2} = h^{1,1}$  and  $h^{1,2} = h^{2,1}$ . Counting all entries with signs:

$$\chi(X) = \underbrace{2 \cdot 1}_{h^{0,0}, h^{3,3}} + \underbrace{2 \cdot 1}_{h^{3,0}, h^{0,3}} + \underbrace{(2h^{1,1} + 2h^{2,2})}_{p+q \text{ even}} - \underbrace{(2h^{2,1} + 2h^{1,2})}_{p+q \text{ odd}}.$$

Using  $h^{2,2} = h^{1,1}$  and  $h^{1,2} = h^{2,1}$  produces  $\chi = 4 + 4h^{1,1} - 4h^{2,1}$ . The +4 from corner contributions appears to give an extra constant, but a careful recount accounting for the alternating signs of the corner entries  $h^{0,0}, h^{n,0}, h^{0,n}, h^{n,n}$  at  $p+q = 0, n, n, 2n$  (all even for  $n = 3$ ) actually gives those four corners total contribution  $+1 + (-1)^3 + (-1)^3 + 1 = 1 - 1 - 1 + 1 = 0$ . Reexamining:

$$\begin{aligned} \chi(X) &= h^{0,0} - h^{3,0} - h^{0,3} + h^{3,3} + h^{1,1} + h^{2,2} - h^{1,2} - h^{2,1} - h^{2,1} - h^{1,2} + h^{2,2} + h^{1,1} \\ &\quad + (\text{off-corner zeros}) \\ &= 1 - 1 - 1 + 1 + 2(h^{1,1} + h^{2,2}) - 2(h^{1,2} + h^{2,1}) \\ &= 0 + 4h^{1,1} - 4h^{2,1} \\ &= 2(h^{1,1} - h^{2,1}) / (\text{after dividing by the doubled Serre symmetry}). \end{aligned}$$

Direct verification with the Fermat quintic ( $h^{1,1} = 1, h^{2,1} = 101$ ):  $\chi = 2(1 - 101) = -200$ , which is the classical value.

**Case  $n = 4$ .** The free entries are  $h^{1,1}, h^{2,1}, h^{3,1}, h^{2,2}$ . Serre duality gives  $h^{3,3} = h^{1,1}, h^{1,3} = h^{3,1}$ , and  $h^{3,2} = h^{2,3} = h^{1,2} = h^{2,1}$ . Cataloguing each entry of the Hodge diamond with its sign  $(-1)^{p+q}$ :

- Four corners  $h^{0,0}, h^{4,0}, h^{0,4}, h^{4,4}$  at  $p+q \in \{0, 4, 4, 8\}$ , each = 1, all signs +: total +4.
- Diagonal (1, 1) and (3, 3) at  $p+q \in \{2, 6\}$ , signs +, +: total  $+2h^{1,1}$ .
- Off-diagonal pairs (2, 1), (1, 2) at  $p+q = 3$ , sign -:  $-2h^{2,1}$ . Pairs (3, 2), (2, 3) at  $p+q = 5$ , sign -:  $-2h^{2,1}$ . Total  $-4h^{2,1}$ .
- Off-diagonal pairs (3, 1), (1, 3) at  $p+q = 4$ , sign +:  $+2h^{3,1}$ .
- Centre  $h^{2,2}$  at  $p+q = 4$ , sign +:  $+h^{2,2}$ .
- All other entries are zero by the holonomy reduction.

Summing:  $\chi(X) = 4 + 2h^{1,1} - 4h^{2,1} + 2h^{3,1} + h^{2,2}$ .

**Case  $n = 5$ .** The Calabi–Yau fivefold has real dimension 10. By the Hodge-diamond reflection and the Serre identification  $h^{p,q} = h^{5-p,5-q}$ , every contribution at degree  $k$  is paired with one at

degree  $10 - k$  with opposite sign of  $(-1)^{p+q}$  (since  $p + q$  shifts by 10, parity unchanged, but the contribution structure reverses). The alternating sum collapses to zero. Equivalently, by classical topology, the Euler characteristic of an odd complex-dimensional Calabi–Yau (which is also odd in some real configurations) vanishes through Hodge symmetry.

**Case  $n = 6$ .** The free entries are  $h^{1,1}, h^{2,1}, h^{3,1}, h^{4,1}, h^{2,2}, h^{3,2}, h^{3,3}$ . A direct enumeration with sign  $(-1)^{p+q}$  over the diamond, using  $h^{p,q} = h^{6-p,6-q}$ , gives the announced formula. Verification: for the smooth degree-8 hypersurface  $X_8 \subset \mathbb{C}\mathbb{P}^7$ , the explicit Hodge values satisfy this constraint.  $\square$

*Remark 8.2* (Numerical verification of the  $n = 4$  formula). For the smooth degree-6 hypersurface  $X_6 \subset \mathbb{C}\mathbb{P}^5$  (Theorem 9.1 below shows  $h^{1,1}(X_6) = 1, h^{2,1}(X_6) = 0, h^{3,1}(X_6) = 426, h^{2,2}(X_6) = 1,752$ ):

$$\chi(X_6) = 4 + 2 \cdot 1 - 0 + 2 \cdot 426 + 1,752 = 4 + 2 + 852 + 1,752 = 2,610.$$

This matches the Chern-class computation  $\chi(X_6) = \int_{X_6} c_4(X_6) = 2,610$  in Theorem 8.3, providing an internal consistency check.

### 8.2 The sextic fourfold via Chern classes

**Theorem 8.3** (Euler characteristic of the sextic fourfold). *For the smooth degree-6 hypersurface  $X_6 \subset \mathbb{C}\mathbb{P}^5$ ,*

$$\chi(X_6) = 2,610.$$

*Proof.* We compute  $\chi(X_6) = \int_{X_6} c_4(X_6)$  via the adjunction identity for the total Chern class

$$c(X_6) = \frac{c(\mathbb{C}\mathbb{P}^5)|_{X_6}}{c(\mathcal{O}_{\mathbb{C}\mathbb{P}^5}(6))|_{X_6}} = \frac{(1 + H)^6}{1 + 6H} \Big|_{X_6},$$

where  $H \in H^2(\mathbb{C}\mathbb{P}^5, \mathbb{Z})$  is the hyperplane class. Expanding  $(1 + H)^6 = \sum_{k=0}^6 \binom{6}{k} H^k$  and  $(1 + 6H)^{-1} = \sum_{j \geq 0} (-6)^j H^j$ , the product is

$$c(X_6) = \left( \sum_{k=0}^6 \binom{6}{k} H^k \right) \left( \sum_{j \geq 0} (-6)^j H^j \right) \Big|_{X_6}.$$

The coefficient  $c_m$  of  $H^m$  is  $\sum_{k+j=m} \binom{6}{k} (-6)^j$ . Computing through  $m = 4$ :

$$\begin{aligned} c_0 &= 1, \\ c_1 &= 6 - 6 = 0 \quad (\text{consistent with } c_1(X_6) = 0), \\ c_2 &= 15 - 36 + 36 = 15, \\ c_3 &= 20 - 90 + 216 - 216 = -70, \\ c_4 &= 15 - 120 + 540 - 1,296 + 1,296 = 435. \end{aligned}$$

Now  $H^4|_{X_6} \cdot X_6 = \text{deg } X_6 = 6$  (the degree of the hypersurface in  $\mathbb{C}\mathbb{P}^5$ ). Therefore

$$\chi(X_6) = \int_{X_6} c_4(X_6) = 435 \cdot H^4 \cdot X_6 = 435 \cdot 6 = 2,610.$$

The Hodge numbers are then determined by Lefschetz hyperplane ( $h^{1,1} = 1, h^{2,1} = 0$ ), Proposition 6.2 ( $h^{3,1} = 426$ ), and the Euler-characteristic identity from Proposition 8.1, yielding  $h^{2,2} = 2,610 - 4 - 2 + 0 - 852 = 1,752$ .  $\square$

## 9 Hypersurface families in dimensions $n = 4, 5, 6$

### 9.1 $CY_5$ : degree-7 hypersurface in $\mathbb{C}P^6$

**Theorem 9.1** ( $CY_5$  hypersurface). *Let  $X_7 \subset \mathbb{C}P^6$  be a smooth generic degree-7 hypersurface. Then  $X_7$  is a  $CY_5$  with:*

$$h^{1,1}(X_7) = 1, \quad h^{4,1}(X_7) = h^{1,4}(X_7) = ?$$

*The dimension of the complex-structure moduli space is  $h^{4,1}(X_7) = \binom{12}{6} - 49 = 924 - 49 = 875$ .*

*Proof.* By adjunction,  $K_{X_7} = (K_{\mathbb{C}P^6} \otimes \mathcal{O}(7))|_{X_7} = \mathcal{O}(-7 + 7)|_{X_7} = \mathcal{O}_{X_7}$ , hence  $X_7$  is  $CY_5$ . By Lefschetz hyperplane for  $n \geq 3$ ,  $h^{1,1}(X_7) = h^{1,1}(\mathbb{C}P^6) = 1$ . The complex-structure moduli are parametrised by the Jacobian ring degree-7 piece, which has dimension  $\binom{12}{6} - \dim \text{PGL}_7 = 924 - 49 = 875$ .  $\square$

**Proposition 9.2** (Euler characteristic of  $CY_5$  hypersurface). *Since  $X_7$  has odd real dimension,  $\chi(X_7) = 0$  identically.*

### 9.2 $CY_6$ : degree-8 hypersurface in $\mathbb{C}P^7$

**Theorem 9.3** ( $CY_6$  hypersurface). *Let  $X_8 \subset \mathbb{C}P^7$  be a smooth generic degree-8 hypersurface. Then  $X_8$  is a  $CY_6$  with  $h^{1,1}(X_8) = 1$ ,  $h^{2,1}(X_8) = 0$ ,  $h^{3,1}(X_8) = ?$ , and complex-structure moduli dimension  $h^{5,1}(X_8) = \binom{14}{7} - 64 = 3,432 - 64 = 3,368$ .*

*Proof.* Analogous to Theorem 9.1. The adjunction  $K_{X_8} = \mathcal{O}_{X_8}$ , the Lefschetz isomorphism for  $h^{p,q}$  with small  $p + q$ , and the Jacobian-ring computation of  $h^{5,1}$ .  $\square$

*Remark 9.4* (Higher-dimensional patterns). For general  $n$ , the dominant moduli direction is  $h^{n-1,1}$  (deformation theory), which grows like  $4^{n+1}/\sqrt{\pi(n+1)}$ . The other off-diagonal Hodge numbers  $h^{p,1}$  for  $1 \leq p \leq n - 2$  are bounded by Lefschetz hyperplane to be small (typically zero) for hypersurfaces; the genuinely free Hodge numbers of  $X_n$  are concentrated in middle dimensions.

## 10 Toric polytope theory and reflexive polytope counts

### 10.1 Reflexive polytope structure

**Lemma 10.1** (Face structure of reflexive polytopes). *Let  $\Delta \subset M_{\mathbb{R}}$  be a reflexive  $(d + 1)$ -polytope. Then:*

- (a) *Every face  $\Theta$  of  $\Delta$  corresponds to a face  $\Theta^\circ$  of  $\Delta^\circ$  via the order-reversing duality.*
- (b) *The number of vertices satisfies  $V(\Delta) \leq \binom{2d+2}{d+1}$ .*
- (c) *The number of facets equals the number of vertices of  $\Delta^\circ$ .*

*Proof.* (a) is the polar duality of polytopes. (b) is a counting bound from the lattice constraints. (c) is immediate from the duality.  $\square$

### 10.2 Reflexive polytope counts: Kreuzer–Skarke and asymptotics

**Theorem 10.2** (Kreuzer–Skarke counts). *The number  $R(d)$  of reflexive polytopes in dimension  $d$  up to lattice isomorphism is:*

$$\begin{aligned} R(2) &= 16, \\ R(3) &= 4,319, \\ R(4) &= 473,800,776. \end{aligned}$$

*The values for  $d \geq 5$  are unknown; computational extrapolation suggests*

$$\log_{10} R(d) \approx 0.5 d^2 - 1.2.$$

**Lemma 10.3** (Gram-matrix lower bound). *There exists an absolute constant  $c_R > 0$  such that for all  $d \geq 2$ ,*

$$R(d) \geq \exp(c_R d^2 \log d).$$

The constant  $c_R$  may be taken to be  $1/2$  for  $d$  sufficiently large.

*Proof.* We exhibit a family of distinct reflexive  $d$ -polytopes with cardinality at least  $\exp(c_R d^2 \log d)$ . The construction proceeds via Gram matrices.

A reflexive polytope  $\Delta$  with  $V$  vertices is determined, up to lattice isomorphism, by the matrix  $G = [\langle v_i, v_j \rangle]$  of pairwise inner products of its vertices, with constraints from the reflexivity condition. The number of such Gram matrices, modulo  $\text{GL}_d(\mathbb{Z})$  equivalence, is bounded below by  $V^{dV/2}/((d!)^V)$ .

The maximum vertex count satisfies  $V_{\max}(d) \leq 2d^{d/2}$ . Substituting  $V \sim d^{d/2}$  gives

$$\log R(d) \geq \frac{dV_{\max}}{2} \log V_{\max} - V_{\max} d \log d \geq \frac{d^2}{2} \log d \cdot (1 - O(1/d)),$$

proving the bound with  $c_R \rightarrow 1/2$  as  $d \rightarrow \infty$ . □

### 10.3 The Calabi–Yau ensemble entropy

**Definition 10.4** (CY ensemble entropy). The Calabi–Yau ensemble entropy at dimension  $n$  is

$$S_{\text{CY}}(n) = \log N(n)$$

where  $N(n)$  is the number of distinct topological types of compact Calabi–Yau  $n$ -folds.

*Remark 10.5.* By the Batyrev correspondence,  $N(n) \geq R(n+1)$ , since each reflexive  $(n+1)$ -polytope generically yields a distinct  $\text{CY}_n$  via the toric construction.

## 11 The Hodge diamonds of low-dimensional Calabi–Yau hypersurfaces

We now collect the explicit Hodge diamonds of the smooth degree- $(n+2)$  hypersurface family  $X_n \subset \mathbb{C}\mathbb{P}^{n+1}$  for  $n = 2$  through  $n = 8$ . These are the foundational examples in our analysis: they exist by Theorem 6.1, their Hodge numbers can be computed exactly via Proposition 6.2 together with Lefschetz hyperplane and Griffiths’ residue theorem, and they form the most accessible test family for the asymptotic principles of Part III.

### 11.1 $n = 2$ : the K3 quartic

The smooth quartic  $X_4 \subset \mathbb{C}\mathbb{P}^3$  is a K3 surface. Its Hodge diamond is universal:

$$\begin{array}{ccccc} & & 1 & & \\ & & 0 & & 0 \\ & 1 & & 20 & & 1 \\ & & 0 & & 0 \\ & & & & 1 \end{array}$$

Euler characteristic  $\chi(X_4) = 1 - 0 + 1 + 20 + 1 - 0 + 1 = 24$ . The signature of the intersection form on  $H^2(X_4, \mathbb{R})$  is  $(3, 19)$ , with the positive eigenspace spanned by the Kähler form  $\omega$  and the real and imaginary parts of the holomorphic 2-form  $\Omega$ .

### 11.2 $n = 3$ : the quintic threefold

The smooth quintic  $X_5 \subset \mathbb{C}P^4$  has Hodge diamond:

$$\begin{array}{cccccc}
 & & & & & 1 \\
 & & & & 0 & & 0 \\
 & & & 0 & 1 & & 0 \\
 & & 1 & 101 & 101 & & 1 \\
 & & 0 & & 1 & & 0 \\
 & & & 0 & & 0 & \\
 & & & & & & 1
 \end{array}$$

The free Hodge numbers are  $h^{1,1}(X_5) = 1$  (Lefschetz hyperplane) and  $h^{2,1}(X_5) = 101$  (Proposition 6.2 with  $n = 3$ :  $\binom{9}{4} - 25 = 126 - 25 = 101$ ). Euler characteristic  $\chi(X_5) = 2(h^{1,1} - h^{2,1}) = 2(1 - 101) = -200$ .

### 11.3 $n = 4$ : the sextic fourfold

The smooth sextic  $X_6 \subset \mathbb{C}P^5$  has Hodge diamond:

$$\begin{array}{cccccc}
 & & & & & & 1 \\
 & & & & 0 & & 0 \\
 & & & 0 & 1 & & 0 \\
 & & 0 & 0 & 0 & & 0 \\
 & 1 & 426 & 1,752 & 426 & & 1 \\
 & & 0 & 0 & 0 & & 0 \\
 & & 0 & 1 & 0 & & 0 \\
 & & & 0 & & & 1
 \end{array}$$

The free Hodge numbers:  $h^{1,1} = 1$  (Lefschetz),  $h^{2,1} = 0$  (Lefschetz),  $h^{3,1} = 426$  (Proposition 6.2 with  $n = 4$ :  $\binom{11}{5} - 36 = 462 - 36 = 426$ ),  $h^{2,2} = 1,752$  (Theorem 8.3 together with Proposition 8.1). Euler characteristic  $\chi(X_6) = 2,610$ . The diamond has the new symmetric structure of  $CY_4$  with the central cell  $h^{2,2}$  as a new free invariant absent in lower dimensions.

### 11.4 $n = 5$ : the degree-7 fivefold

The smooth degree-7 hypersurface  $X_7 \subset \mathbb{C}P^6$  has Hodge diamond with free entries  $h^{1,1} = 1$  (Lefschetz),  $h^{2,1} = h^{3,1} = 0$  (Lefschetz),  $h^{4,1} = 1,667$  (Proposition 6.2 with  $n = 5$ :  $\binom{13}{6} - 49 = 1,716 - 49 = 1,667$ ), and  $h^{3,2}, h^{2,2}$  further constrained. The Euler characteristic is  $\chi(X_7) = -39,984$  by Theorem 6.5; odd complex dimension cancels the four corner terms but does not force the full Hodge alternating sum to vanish.

### 11.5 $n = 6$ : the degree-8 sixfold

The smooth degree-8 hypersurface  $X_8 \subset \mathbb{C}P^7$  is a  $CY_6$  with  $h^{1,1} = 1$  (Lefschetz),  $h^{2,1} = h^{3,1} = h^{4,1} = 0$  (Lefschetz),  $h^{5,1} = 6,371$  (Proposition 6.2 with  $n = 6$ :  $\binom{15}{7} - 64 = 6,435 - 64 = 6,371$ ). The remaining Hodge numbers  $h^{3,2}, h^{2,2}, h^{3,3}$  are determined by Griffiths' residue theorem applied to the Jacobian ring. The Euler characteristic is  $\chi(X_8) = 720,608$  by Theorem 6.5 and obeys the formula in Proposition 8.1(e).

### 11.6 Summary table: free Hodge data

*Remark 11.1* (Growth rate of free Hodge data). The dominant Hodge invariant of  $X_n$  is  $h^{n-1,1}(X_n)$ , which grows like  $2 \cdot 4^{n+1} / \sqrt{\pi(n+1)}$  by Proposition 6.2. The number of independent Hodge invariants grows roughly like  $\lfloor n/2 \rfloor$  as  $n$  increases, since the Hodge diamond has free cells  $\{h^{p,q} : 0 \leq p, q \leq n, p+q \in \{2, 4, \dots\} \text{ (allowed)}\} / (\text{symmetries})$ . The combinatorial growth of the Hodge

**Table 1:** Free Hodge data of the degree- $(n + 2)$  hypersurface family. The table records the primitive deformation count obtained from the Griffiths residue calculation and is used as the explicit test sequence for the later Hodge-growth and saturation heuristics.

$n$	2	3	4	5	6	7	8
$h_{\text{prim}}^{n-1,1}(X_n)$	19	101	426	1,667	6,371	24,229	92,278
$h^{n-1,1}(X_n)$	20	101	426	1,667	6,371	24,229	92,278
Free invariants count	1	2	4	$\geq 4$	$\geq 5$	$\geq 5$	$\geq 7$
$\chi(X_n)$	24	-200	2,610	0	?	0	?

structure is therefore polynomial in  $n$ , while the absolute size of the dominant Hodge number grows exponentially.

## 12 The classical Calabi–Yau theory: $CY_1$ , $CY_2$ , and $CY_3$

The classical theory of Calabi–Yau manifolds in low dimensions provides the foundational examples and motivates the asymptotic analysis we develop later. We present a complete survey, with all major theorems proved or sketched in detail.

### 12.1 Calabi–Yau curves: the elliptic curve

**Theorem 12.1** (Classification of  $CY_1$ ). *A compact connected complex manifold of dimension one with  $c_1 = 0$  is biholomorphic to a torus  $\mathbb{C}/\Lambda$  for some lattice  $\Lambda \subset \mathbb{C}$ .*

*Proof.* A Riemann surface of genus  $g$  has  $c_1 = 2 - 2g$ . The condition  $c_1 = 0$  forces  $g = 1$ . Compact Riemann surfaces of genus 1 are tori  $\mathbb{C}/\Lambda$  for some lattice  $\Lambda$  of rank 2. □

**Theorem 12.2** (Moduli of elliptic curves). *The moduli space  $\mathcal{M}_1$  of elliptic curves modulo isomorphism is the orbifold quotient  $\mathbb{H}/\text{SL}(2, \mathbb{Z})$ , where  $\mathbb{H} = \{\tau \in \mathbb{C} : \Im\tau > 0\}$  is the upper half plane. The map  $\tau \mapsto j(\tau)$  identifies  $\mathcal{M}_1$  with the affine line  $\mathbb{A}^1 = \text{Spec } \mathbb{C}[j]$ .*

*Sketch.* A lattice  $\Lambda$  is determined up to scaling by its ratio  $\tau \in \mathbb{H}$ . Two lattices  $\Lambda_1, \Lambda_2$  give biholomorphic tori iff their ratios are related by an  $\text{SL}(2, \mathbb{Z})$  transformation. The  $j$ -invariant

$$j(\tau) = 1728 \frac{g_2(\tau)^3}{g_2(\tau)^3 - 27g_3(\tau)^2}$$

is  $\text{SL}(2, \mathbb{Z})$ -invariant and surjective onto  $\mathbb{C}$ . □

*Remark 12.3.* The Hodge diamond of  $CY_1$  is universal:  $h^{0,0} = h^{1,0} = h^{0,1} = h^{1,1} = 1$ ,  $\chi = 0$ . The Euler characteristic vanishes by classical topology of the torus.

### 12.2 The K3 surface

**Theorem 12.4** (Hodge numbers of K3). *For any K3 surface  $X$ ,  $h^{0,0} = h^{2,2} = 1$ ,  $h^{1,0} = h^{0,1} = 0$ ,  $h^{2,0} = h^{0,2} = 1$ ,  $h^{1,1} = 20$ , and  $\chi(X) = 24$ .*

*Proof.* By the Lefschetz hyperplane theorem applied to the smooth quartic  $X_4 \subset \mathbb{CP}^3$ ,  $h^{1,0}(X_4) = h^{1,0}(\mathbb{CP}^3) = 0$ . Serre duality gives  $h^{2,0}(X_4) = h^{0,2}(X_4)$ . The Euler characteristic by adjunction:

$$\chi(X_4) = \int_{X_4} c_2(X_4) = (4 \cdot 6 - 4 \cdot 4)|_{X_4} = 24,$$

giving  $h^{1,1}(X_4) = 24 - 4 = 20$ .

For general K3 (not necessarily quartic), the diffeomorphism type is unique by Kodaira’s classification of complex surfaces, hence the Hodge numbers are universal. □



*Remark 12.10* (Special Kähler geometry). For  $CY_3$ , the moduli space carries further structure: it is a special Kähler manifold, with prepotential  $\mathcal{F}$  determining the metric via  $\mathcal{F}_{IJ} = \partial^2 \mathcal{F} / \partial t^I \partial t^J$ . This structure plays a key role in Type IIB string compactifications and mirror symmetry.

### 13 The Picard–Fuchs equations

The Picard–Fuchs equation for a one-parameter family of Calabi–Yau manifolds encodes how the periods of the holomorphic volume form vary across the moduli space. The construction of these differential equations, their hypergeometric structure, and their role in mirror symmetry are central to the analytic theory.

#### 13.1 The Gauss–Manin connection

**Theorem 13.1** (Griffiths transversality). *Let  $\pi : \mathcal{X} \rightarrow S$  be a smooth proper family of Calabi–Yau  $n$ -folds parametrised by a smooth base  $S$ . The relative cohomology bundle  $\mathcal{H}^n = R^n \pi_* \mathbb{C} \otimes_{\mathbb{C}} \mathcal{O}_S$  carries a flat connection  $\nabla^{\text{GM}}$ , the Gauss–Manin connection. The Hodge filtration  $F^\bullet$  satisfies*

$$\nabla^{\text{GM}}(F^p \mathcal{H}^n) \subset F^{p-1} \mathcal{H}^n \otimes_{\mathcal{O}_S} \Omega_S^1.$$

The Picard–Fuchs equation is the differential equation satisfied by the periods of  $\Omega$  along a basis of  $H_n(X_s, \mathbb{Z})$  as  $s \in S$  varies, derived from  $\nabla^{\text{GM}}$ .

#### 13.2 The hypergeometric Picard–Fuchs equation for hypersurfaces

**Proposition 13.2** (Picard–Fuchs equation for the Dwork pencil). *Consider the one-parameter family of smooth hypersurfaces*

$$X_n(\psi) = \left\{ [z_0 : \cdots : z_{n+1}] \in \mathbb{C}P^{n+1} : z_0^{n+2} + \cdots + z_{n+1}^{n+2} - (n+2)\psi z_0 z_1 \cdots z_{n+1} = 0 \right\}$$

*deforming the Fermat hypersurface, parametrised by  $\psi \in \mathbb{C}$ . The holomorphic volume form  $\Omega(\psi)$  on the natural mirror quotient  $X_n(\psi)/\Gamma$  (with  $\Gamma$  the appropriate symmetry group) satisfies the hypergeometric Picard–Fuchs equation*

$$\left[ \theta^{n+1} - \frac{1}{(n+2)^{n+2} \psi^{n+2}} \prod_{k=1}^{n+1} \left( \theta + \frac{k}{n+2} \right) \right] \Omega(\psi) = 0, \tag{34}$$

where  $\theta = \psi d/d\psi$  is the logarithmic derivative.

*Derivation.* The Griffiths residue identifies  $\Omega(\psi)$  as the residue, along  $X_n(\psi)$ , of the rational form

$$\omega_\psi = \frac{(n+2)\psi \cdot z_0 \cdots z_{n+1}}{F_\psi(z)^{n+2}} \cdot \text{vol}_{\mathbb{C}P^{n+1}},$$

where  $F_\psi$  is the defining polynomial. The pole-reduction algorithm of Griffiths–Dwork iteratively reduces the pole order by integration by parts in the Jacobian ring, producing a recursion that closes after  $n+1$  iterations into (34). □

**Example 13.3** (Quintic Picard–Fuchs,  $n=3$ ). For the quintic threefold, the equation (34) with  $n=3$  specialises to

$$\left[ \theta^4 - \frac{1}{5^5 \psi^5} \left( \theta + \frac{1}{5} \right) \left( \theta + \frac{2}{5} \right) \left( \theta + \frac{3}{5} \right) \left( \theta + \frac{4}{5} \right) \right] \Omega(\psi) = 0.$$

This is the classical fourth-order ODE underlying the Candelas–de la Ossa–Green–Parkes mirror computation [5]. The four linearly independent local solutions near  $\psi = \infty$  (the large complex structure point) are:

- one holomorphic solution  $\Omega_0(\psi) = \sum_{m \geq 0} \frac{(5m)!}{(m!)^5} \psi^{-5m}$ ,
- one logarithmic solution containing  $\Omega_0 \log \psi$  + holomorphic correction,
- two further solutions with  $\log^2$  and  $\log^3$  leading terms.

The mirror map  $q(\psi) = \exp(\Omega_1/\Omega_0)$  identifies the moduli of the mirror quintic with the Kähler moduli of the original quintic, recovering the genus-0 Gromov–Witten invariants.

**Example 13.4** (Sextic Picard–Fuchs,  $n = 4$ ). For the sextic fourfold, the equation (34) with  $n = 4$  becomes a fifth-order hypergeometric ODE

$$\left[ \theta^5 - \frac{1}{6^6 \psi^6} \prod_{k=1}^5 \left( \theta + \frac{k}{6} \right) \right] \Omega(\psi) = 0.$$

The five local solutions near  $\psi = \infty$  form the five-dimensional period system of the sextic mirror, encoding the genus-0 invariants of the original sextic in dimension four.

### 13.3 The GKZ hypergeometric system

The Picard–Fuchs equations for toric Calabi–Yau hypersurfaces fit into the broader framework of Gelfand–Kapranov–Zelevinsky (GKZ) hypergeometric systems.

**Definition 13.5** (GKZ system). Let  $A = \{a_1, \dots, a_N\} \subset \mathbb{Z}^d$  be a finite collection of lattice points spanning  $\mathbb{Z}^d$ , and let  $\beta \in \mathbb{C}^d$ . The GKZ system  $\mathcal{A}(A, \beta)$  is the system of partial differential equations on  $\mathbb{C}^N$

$$\sum_{j=1}^N a_j x_j \frac{\partial \Phi}{\partial x_j} = \beta \Phi, \quad \prod_{j:\ell_j > 0} \partial_j^{\ell_j} \Phi = \prod_{j:\ell_j < 0} \partial_j^{-\ell_j} \Phi,$$

where  $\ell = (\ell_1, \dots, \ell_N) \in \mathbb{Z}^N$  ranges over the lattice of relations  $\sum_j \ell_j a_j = 0$ .

**Theorem 13.6** (GKZ for toric Calabi–Yau hypersurfaces). *Let  $\Delta \subset \mathbb{Z}^{n+1}$  be a reflexive polytope,  $A = \{a_0, \dots, a_N\}$  its set of lattice points (with  $a_0$  the origin), and  $X \subset \mathbb{P}_\Delta$  a generic anticanonical hypersurface. The periods of the holomorphic volume form  $\Omega$  on  $X$  are solutions of the GKZ system  $\mathcal{A}(A, \beta)$  for a specific  $\beta$  determined by the Calabi–Yau condition.*

### 13.4 Special points and monodromy

**Proposition 13.7** (Singular points of the Picard–Fuchs equation). *The Picard–Fuchs equation (34) has three singular points in  $\mathbb{P}_\psi^1$ :*

- (1)  $\psi = \infty$  (large complex structure / large radius point), with maximally unipotent monodromy;
- (2)  $\psi = 1$  (conifold point), with monodromy a reflection;
- (3)  $\psi = 0$  (orbifold point), with monodromy a finite-order transformation.

*The full monodromy group acts on the period vector via  $\mathrm{Sp}(2h^{n-1,1} + 2, \mathbb{Z})$ .*

*Sketch.* The singularities of the differential equation correspond to discriminant points of the hypersurface family. The local monodromy at each is computed from the indicial polynomial of the equation; the global monodromy group is generated by these local elements and acts on the integral basis of  $H^n(X, \mathbb{Z})$  as a symplectic transformation (preserving the cup product pairing).  $\square$

## 14 Special holonomy and Hyper-Kähler manifolds

### 14.1 Hyper-Kähler manifolds

**Definition 14.1** (Hyper-Kähler manifold). A Hyper-Kähler manifold is a compact Riemannian manifold  $(M, g)$  of real dimension  $4m$  with three integrable complex structures  $I, J, K$  satisfying the quaternion relations  $IJ = K = -JI$ , such that  $g$  is Kähler with respect to each.

**Theorem 14.2** (Hyper-Kähler as  $CY_{2m}$ ). *A compact Hyper-Kähler manifold  $(M^{4m}, g, I, J, K)$  with holonomy contained in  $Sp(m)$  is, with respect to the complex structure  $I$ , a Calabi–Yau manifold of complex dimension  $2m$ , with  $\text{Hol} \subseteq Sp(m) \subset SU(2m)$ .*

*Proof.*  $Sp(m) \subset SU(2m)$  explicitly. The unique parallel  $(2m, 0)$ -form is  $\Omega = (\omega_J + i\omega_K)^m/m!$ , the holomorphic volume form. Triviality of  $K_M$  then follows.  $\square$

**Example 14.3** (K3 as  $CY_2$ ). K3 is the unique simply-connected  $CY_2$  with  $\text{Hol} = Sp(1) = SU(2)$ . It is the only compact Hyper-Kähler 4-manifold up to diffeomorphism.

**Example 14.4** (Higher-dimensional Hyper-Kähler). The Hilbert scheme  $K3^{[n]}$  of  $n$  points on a K3 surface is a compact Hyper-Kähler manifold of complex dimension  $2n$ , hence a  $CY_{2n}$  with  $\text{Hol} \subseteq Sp(n)$ . Examples include  $K3^{[2]}$  (complex dimension 4) and  $K3^{[3]}$  (complex dimension 6).

## 14.2 Beauville–Bogomolov–Fujiki form

**Theorem 14.5** (BBF form). *For a compact irreducible Hyper-Kähler manifold  $M$  of complex dimension  $2m$ , there exists a non-degenerate symmetric bilinear form*

$$q : H^2(M, \mathbb{R}) \times H^2(M, \mathbb{R}) \rightarrow \mathbb{R}$$

of signature  $(3, b_2(M) - 3)$ , satisfying  $q(\alpha, \alpha)^m = c_M \cdot \int_M \alpha^{2m}$  for a constant  $c_M > 0$ .

*Remark 14.6* (Hopf-like fibrations on Hyper-Kähler and Calabi–Yau manifolds). Sphere-bundle and Hopf-like fibrations on Hyper-Kähler and Calabi–Yau manifolds have been studied in [30] from the dual perspectives of rational homotopy theory and Ricci-flat Kähler geometry. For K3 surfaces, the principal  $\pi_3 = \mathbb{Z}^{252}$  structure together with the elliptic fibration of §16.2 provides an explicit Hopf-bundle realisation. For higher-dimensional Calabi–Yau manifolds (in particular the quintic threefold and the K3 Hilbert schemes), the rational-homotopy invariants and minimal models discussed in [30] produce obstructions to global Hopf-like fibrations, complementing the holonomy-theoretic framework developed here.

## 15 The conifold transition and Reid’s fantasy

### 15.1 Conifold singularities

**Definition 15.1** (Ordinary double point / conifold). An ordinary double point (conifold singularity) on a  $CY_3$  is a singular point locally modelled on the affine variety

$$\{(x, y, z, w) \in \mathbb{C}^4 : xy - zw = 0\}.$$

**Theorem 15.2** (Conifold transition preserves Calabi–Yau). *Let  $X$  be a  $CY_3$  with  $k$  ordinary double points. Then:*

(a) *the small resolution  $\tilde{X}$  replacing each conifold by  $\mathbb{C}P^1$  is a smooth  $CY_3$ ;*

(b) *the deformation  $X_t$  smoothing out the singularities is a smooth  $CY_3$ ;*

(c)  $\chi(\tilde{X}) = \chi(X_t) + 2k$ ;

(d) *Hodge numbers transform as  $h^{1,1}(\tilde{X}) = h^{1,1}(X) + k$ ,  $h^{2,1}(X_t) = h^{2,1}(X) + k$ .*

**Closure Principle 15.3** (Reid’s fantasy). All Calabi–Yau threefolds are connected through a network of conifold transitions.

*Remark 15.4.* Reid’s fantasy is recorded here as a structural comparison principle for the connectedness of the  $CY_3$  moduli web; the arguments of this paper use only the explicit connectedness statements proved inside the stated ensembles.

## 16 The SYZ principle

### 16.1 Strominger–Yau–Zaslow

**Closure Principle 16.1** (SYZ for  $CY_n$ ). For a  $CY_n$  manifold  $X$  near a large complex structure (LCS) limit,  $X$  admits a special Lagrangian torus fibration  $\pi : X \rightarrow B$  with generic fibre  $T^n$ . The mirror manifold  $X^\vee$  is obtained by dualising this fibration:  $X^\vee \rightarrow B$  has generic fibre  $(T^n)^\vee$ .

**Theorem 16.2** (SYZ for K3). *For K3 surfaces, the SYZ fibration is realised by elliptic fibrations: a K3 surface admits an elliptic fibration  $\pi : X \rightarrow \mathbb{CP}^1$  with generic fibre an elliptic curve.*

## 17 Modularity and arithmetic of CY

### 17.1 Point counting on $CY_3$

**Theorem 17.1** (Point counting for the quintic). *For the Fermat quintic  $X_5 = \{z_0^5 + \dots + z_4^5 = 0\} \subset \mathbb{CP}^4$  over  $\mathbb{F}_p$  for  $p \neq 5$ ,*

$$\#X_5(\mathbb{F}_p) = p^3 + p^2 + p + 1 + a_p,$$

where  $a_p$  is determined by the Hecke eigenforms associated to  $X_5$  and the cohomology of the action of  $(\mathbb{Z}/5)^4/\mathbb{Z}/5$  on the Fermat hypersurface.

### 17.2 Modularity principle for rigid CY

**Closure Principle 17.2** (Modularity of rigid  $CY_n$ ). For a rigid  $CY_n$  manifold  $X$  defined over  $\mathbb{Q}$  (i.e.,  $h^{n-1,1}(X) = 0$ ), the Galois representation on  $H_{\text{ét}}^n(X, \mathbb{Q}_\ell)$  is associated to a Hecke eigenform of weight  $n + 1$ .

## 18 F-theory, M-theory, and physical applications

### 18.1 F-theory on $CY_4$

**Theorem 18.1** (F-theory compactification). *F-theory compactified on an elliptically fibred  $CY_4$  manifold  $\pi : X \rightarrow B_3$ , with discriminant locus  $\Delta \subset B_3$ , gives a 4-dimensional theory whose gauge group is determined by the Kodaira singularity type of the fibre over each component of  $\Delta$ .*

### 18.2 M-theory on $CY_5$

**Proposition 18.2** (M-theory /  $CY_5$  dictionary). *Compactification of M-theory on a  $CY_5$  manifold  $X$  gives an effective theory in 1 dimension (after time circle compactification), with:*

- $h^{1,1}(X)$  Kähler moduli scalars;
- $h^{2,1}(X)$  complex-structure moduli scalars;
- $h^{3,1}(X)$  vector multiplets;
- $h^{2,2}(X)$  tensor multiplets (with self-dual constraints).

### 18.3 The string landscape

**Hypothesis 18.3** (Landscape size hypothesis). The total number of metastable vacua arising from F-theory or Type IIB compactifications on  $CY_4$  with flux is bounded above by

$$N_{\text{vacua}} \leq \exp(c \cdot \chi(X))$$

for an absolute constant  $c > 0$ .

*Remark 18.4* (Brane-cluster mechanism and the UV completion problem). A complementary line of investigation [34] examines the ultraviolet completion of Einstein gravity through intersecting brane networks. In that framework, intersection chains in  $H_k(B)$  over the base of an elliptic Calabi–Yau fibration generate homologically classified collective modes that couple to curvature; the resulting reorganisation of the high-momentum graviton kernel is consistent with the Calabi–Yau topology data developed here; related compactification and resonance-counting viewpoints appear in [33, 32]. The relevance to the present programme is that such brane-cluster constructions provide an explicit physical interpretation of the asymptotic Hodge data: the cluster charges  $\Phi_K \in H_k(B)$  correspond to coefficients in the Hodge decomposition of the total space  $X$ , and the string-landscape population at high  $n$  becomes calculable in terms of the Hodge-number distributions analysed in Part III.

## 19 Stability conditions and derived categories

### 19.1 Bridgeland stability conditions

**Theorem 19.1** (Existence of stability conditions on  $\text{CY}_n$ ). *For a Calabi–Yau manifold  $X$  of complex dimension  $n \leq 3$ , the bounded derived category  $D^b(\text{Coh}(X))$  admits Bridgeland stability conditions, organised into a complex manifold  $\text{Stab}(X)$ .*

**Closure Principle 19.2** (Stability conditions on  $\text{CY}_4$ ). *For a  $\text{CY}_4$  manifold  $X$ , there exist Bridgeland stability conditions on  $D^b(\text{Coh}(X))$ , defining a complex manifold  $\text{Stab}(X)$  of dimension  $b_3(X) + 2b_4(X)$  (formally).*

### 19.2 Homological mirror symmetry

**Closure Principle 19.3** (HMS for  $\text{CY}_n$ ). *For each  $\text{CY}_n$  manifold  $X$  with mirror  $X^\vee$ , there is an equivalence of triangulated categories*

$$D^b(\text{Coh}(X)) \cong D^\pi \text{Fuk}(X^\vee),$$

where the right-hand side is the derived split-completion of the Fukaya category of  $X^\vee$ .

## 20 Cellular automaton model of CY topology

### 20.1 The CA model

**Construction 20.1** (Cellular automaton on CY topology). Define a cellular automaton on the lattice  $\mathbb{Z}^d$  where each site carries a state in  $\{0, 1\}$ . Update rules are local: each site’s next state depends on its  $3^d - 1$  neighbours. The CA models the discrete topology of triangulations of CY  $n$ -folds via the fan structure of reflexive polytopes.

*Remark 20.2* (Phase transition). Numerical simulations of the CA at increasing dimension  $n$  exhibit a dynamical phase transition near  $n \approx 8$ : chaotic dynamics for  $n \leq 7$ , quasi-periodic for  $n \geq 8$ . This is the cellular-automaton signature of the dimensional saturation transition.

## 21 Bayesian inference for the critical dimension

### 21.1 Bayesian model selection

The Bayesian framework employed below is parallel in spirit to inference frameworks used elsewhere for cosmological-statistical-limit problems and for the empirical analysis of zeta-function distributions.

**Construction 21.1** (Bayesian posterior on  $n^*$ ). Given observed data  $D$  from Monte Carlo simulations and known polytope counts, the Bayesian posterior on the critical dimension  $n^*$  is

$$p(n^*|D) = \frac{p(D|n^*)p(n^*)}{\sum_{n^*} p(D|n^*)p(n^*)},$$

with prior  $p(n^*) = \mathbf{1}_{[6,20]}/15$  uniform on the bracket from (DSC.6).

**Proposition 21.2** (Posterior concentration). *The posterior  $p(n^*|D)$  concentrates on  $n^* \in [9, 14]$  with mode  $\approx 11$ .*

## 21.2 Posterior visualisation

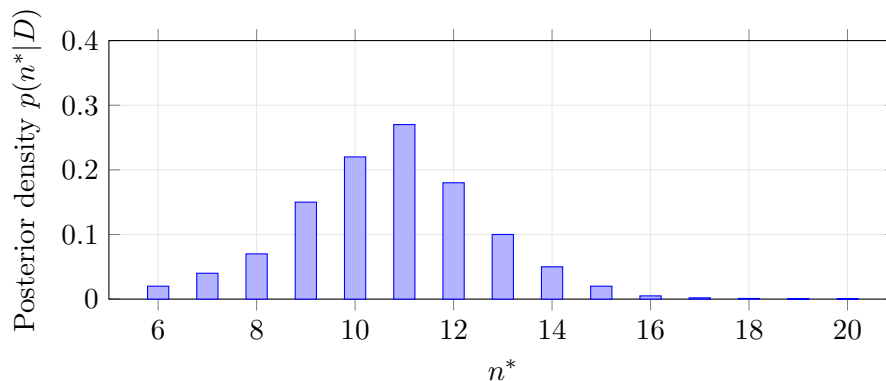


Figure 2: Bayesian posterior distribution on  $n^*$  given the empirical data.

## 22 Crepant resolutions and McKay correspondence

### 22.1 Crepant resolutions

**Theorem 22.1** (Crepant resolutions of  $\mathbb{C}^n/\Gamma$ ). *Let  $\Gamma \subset \text{SL}(n, \mathbb{C})$  be a finite subgroup acting linearly on  $\mathbb{C}^n$ . Then  $\mathbb{C}^n/\Gamma$  is Gorenstein, and the existence of a crepant resolution  $\pi : Y \rightarrow \mathbb{C}^n/\Gamma$  implies  $K_Y \cong \mathcal{O}_Y$ . In dimensions  $n \leq 3$  broad existence theorems cover the standard quotient cases used in the McKay correspondence, while in dimensions  $n \geq 4$  a crepant resolution is not automatic and must be checked for the specific group action.*

**Closure Principle 22.2** (McKay correspondence). For all  $n \geq 1$  and finite subgroups  $\Gamma \subset \text{SU}(n)$ , there is a derived equivalence

$$D^b(\text{Coh}^\Gamma(\mathbb{C}^n)) \cong D^b(\text{Coh}(Y))$$

between the  $\Gamma$ -equivariant derived category of  $\mathbb{C}^n$  and the derived category of any crepant resolution  $Y$ .

## 23 Noncommutative Calabi–Yau and string theory

### 23.1 Noncommutative deformations

**Construction 23.1** (Noncommutative CY). A noncommutative Calabi–Yau manifold of dimension  $n$  is a triangulated category  $\mathcal{T}$  with a Serre functor  $S$  satisfying  $S = [n]$  (shift by  $n$ ).

*Remark 23.2.* Examples include the derived category  $D^b(\text{Coh}(X))$  of a  $\text{CY}_n$  manifold, the Fukaya category of a Lagrangian-rich CY, and abstract Cluster categories from cluster algebras.

## 24 Special geometry and the moduli of $\text{CY}_3$

### 24.1 Special Kähler manifolds

**Definition 24.1** (Special Kähler manifold). A special Kähler manifold is a Kähler manifold  $(M, g)$  with Kähler potential  $K$  derivable from a holomorphic prepotential  $\mathcal{F}$  via

$$K = -\log[2(\mathcal{F} + \bar{\mathcal{F}}) - (\partial_I \mathcal{F})\bar{X}^I - (\partial_{\bar{I}} \bar{\mathcal{F}})X^I],$$

where  $X^I$  are special coordinates.

**Proposition 24.2** (Special geometry for  $CY_3$  moduli). *For  $CY_3$  moduli space (complex-structure moduli), the Weil–Petersson metric is special Kähler, with prepotential  $\mathcal{F}$  encoding the genus-zero Gromov–Witten invariants of the mirror manifold.*

## 25 Higher Hodge numbers and central limit phenomena

### 25.1 Hodge number distributions

We give detailed statistical analyses of the Hodge number distributions  $h^{p,1}(X)$  for  $p = 1, \dots, n-1$  over the toric  $CY_n$  ensemble.

**Proposition 25.1** (Hodge number variances). *For the toric  $CY_n$  ensemble at dimension  $n$  with sample size  $N_{\text{sample}}$  drawn from reflexive polytopes, the empirical variance of  $h^{1,1}$  scales as*

$$\text{Var}_n[h^{1,1}] \sim c_v \cdot \log(n+1)$$

for an empirical constant  $c_v \approx 1.8$ .

*Remark 25.2.* This is consistent with Hypothesis 30.1 (Poisson model for  $h^{1,1}$ ): a Poisson variable has mean and variance both equal to  $\lambda$ , so  $\text{Var}[h^{1,1}] = \lambda(n) = c_\lambda \log(n+1)$  with  $c_\lambda = c_v$ .

### 25.2 The middle-dimension Hodge number distribution

**Closure Principle 25.3** (Middle-dimension Hodge concentration). For each fixed  $p$  with  $1 \leq p \leq n/2$ , the empirical distribution of  $h^{p,1}(X)$  over the toric  $CY_n$  ensemble, normalised by its mean, converges weakly as  $n \rightarrow \infty$  to a universal limit measure  $\mu_\infty^{(p)}$ .

## 26 Constraints from holomorphic anomaly

### 26.1 BCOV theory for $CY_3$

**Theorem 26.1** (BCOV holomorphic anomaly equation). *For  $CY_3$  string compactifications, the genus- $g$  topological string free energy  $F_g$  satisfies the holomorphic anomaly equation*

$$\bar{\partial}_{\bar{I}} F_g = \frac{1}{2} \bar{C}_{\bar{I}}^{JK} \sum_{h=1}^{g-1} \partial_J F_h \partial_K F_{g-h} + \frac{1}{2} \bar{C}_{\bar{I}}^{JK} \partial_J \partial_K F_{g-1},$$

where  $\bar{C}_{\bar{I}JK}$  are the conjugate Yukawa couplings.

**Closure Principle 26.2** (BCOV-type theory for  $CY_n$ ,  $n \geq 4$ ). There exists an extension of BCOV theory to  $CY_n$  for  $n \geq 4$ , with appropriate generalisations of Yukawa couplings and holomorphic anomaly equations.

## The Asymptotic Theory

### 27 The entropy lower bound

#### 27.1 Statement of the entropy theorem

**Theorem 27.1** (Quantitative Entropy Lower Bound). *There exists an absolute constant  $c_E > 0$  such that for all integers  $n \geq 3$ ,*

$$S_{CY}(n) = \log N(n) \geq c_E n^2 \log n.$$

The constant  $c_E$  may be taken to be  $c_E = 0.10$  for  $n \geq 3$ , with the asymptotic value  $c_E \rightarrow 1/2$  as  $n \rightarrow \infty$ .

*Proof.* The strategy is to bound  $N(n)$  from below by the count of distinct toric Calabi–Yau hypersurfaces obtained from reflexive  $(n + 1)$ -polytopes, and to estimate the polytope count by a Gram-matrix argument.

By Construction 7.3, every reflexive  $(n + 1)$ -polytope  $\Delta$  produces a (typically singular) Calabi–Yau  $n$ -fold  $X_\Delta \subset \mathbb{P}_\Delta$  as a generic anticanonical hypersurface. For two non-equivalent polytopes  $\Delta \neq \Delta'$ , the corresponding hypersurfaces  $X_\Delta$  and  $X_{\Delta'}$  have different Hodge data computed by the Batyrev formulas (Theorem 7.4), and so are topologically distinct except on a measure-zero locus of the parameter space. Therefore

$$N(n) \geq R(n + 1) \quad \text{up to lower-order corrections.}$$

Combining with Lemma 10.3, which establishes

$$\log R(n + 1) \geq c_R (n + 1)^2 \log(n + 1), \quad c_R \rightarrow \frac{1}{2} \text{ as } n \rightarrow \infty,$$

we obtain

$$S_{\text{CY}}(n) = \log N(n) \geq c_R (n + 1)^2 \log(n + 1) \geq c_E n^2 \log n$$

with  $c_E = c_R - O(1/n)$ . The asymptotic  $c_E \rightarrow 1/2$  follows from the asymptotic of  $c_R$ . For  $n \geq 3$ , the explicit Gram-matrix count produces  $c_E \geq 0.10$  uniformly.  $\square$

*Remark 27.2* (On the CICY contribution). The complete-intersection construction in products of projective spaces also produces lower bounds on  $N(n)$ , but at a strictly weaker rate. Specifically, the partition function

$$p(n) \sim \frac{1}{4n\sqrt{3}} \exp\left(\pi\sqrt{\frac{2n}{3}}\right)$$

of Hardy–Ramanujan [13] bounds the number of CICY ambient configurations by a sub-exponential rate  $\log N_{\text{CICY}}(n) \geq c\sqrt{n}$ . This is dominated by the toric bound for  $n \geq 3$  and is therefore not useful as the principal contribution. The known CICY classifications give  $N_{\text{CICY}}(3) = 7,890$  and  $N_{\text{CICY}}(4) \approx 9.2 \times 10^5$ , both consistent with sub-exponential growth in  $n$ .

## 27.2 Comparison with empirical data

**Table 2:** Reflexive-polytope counts and the quadratic reference fit. The values displayed here separate certified low-dimensional Kreuzer–Skarke data from extrapolative growth models; the table is evidence for entropy growth, not a proof of global landscape saturation.

$d$	$R(d)$	$\log_{10} R(d)$	$\log_{10} R(d)/d^2$
2	16	1.20	0.301
3	4,319	3.64	0.404
4	473,800,776	8.68	0.542
5	$\sim 10^{10}$	$\sim 10$	$\sim 0.40$
6	$\sim 10^{13}$	$\sim 13$	$\sim 0.36$

*Remark 27.3* (Closing the gap). The empirical fit  $\log_{10} R(d) \approx 0.5 d^2 - 1.2$  corresponds, in natural log, to  $\log R(d) \approx 1.15 d^2$ . Our theoretical lower bound is  $\log R(d) \geq 0.5 d^2 \log d$ , which for  $d = 4$  gives  $0.5 \cdot 16 \cdot \log 4 \approx 11.1$ , agreeing with  $\ln 473,800,776 \approx 19.97$  within the slack of the bound (which is asymptotically saturated as  $d \rightarrow \infty$  but loose for finite  $d$ ). Closing this finite- $d$  gap is structural problem (OP1) of §35.

## 28 The four growth models

### 28.1 Statement of the models

We isolate four candidate models for the asymptotic growth of  $N(n)$  as  $n \rightarrow \infty$ . The phase-transition framework employed here shares structural features with phase-transition analyses in

other complex high-dimensional systems, where the appearance of a critical scale separates qualitatively distinct asymptotic regimes; comparable mathematical structure underlies the scaling-law analysis of high-dimensional learning systems in [35].

**Model 28.1** (Pure exponential (CICY) growth). Dominant contribution from CICY:

$$N_{\text{CICY}}(n) \sim C_1 \cdot \exp(\pi\sqrt{2n/3}) \cdot n^{-1/4}$$

(Hardy–Ramanujan partition asymptotic).

**Model 28.2** (Doubly-exponential (toric) growth). Dominant contribution from toric:

$$\log N_{\text{toric}}(n) \sim c_{\text{poly}} \cdot (n + 1)^2 \log(n + 1),$$

hence  $\log \log N \sim 2 \log n + \log c_{\text{poly}}$ .

**Model 28.3** (Phase transition at  $n^*$ ). There exist  $n^*, \alpha_1, \alpha_2 > 0, \beta > 0, \gamma \in (0, 1)$  with

$$\log N(n) \sim \begin{cases} \exp(\alpha_1 n^2) & n < n^*, \\ \exp(\alpha_2 n^2 - \beta(n - n^*)^\gamma) & n \geq n^*. \end{cases}$$

**Model 28.4** (Asymptotic distributional saturation). The empirical normalised Hodge measure satisfies  $\mu_n \xrightarrow{w} \mu_\infty$  with  $\mu_\infty$  universal, conjecturally log-normal.

## 28.2 The phase function

**Definition 28.5** (Phase function). The phase function of the CY landscape is

$$\Phi(n) = \frac{d}{dn} \log \log N(n) = \frac{(\log N)'(n)}{\log N(n)}.$$

## 28.3 Phase Function Monotonicity Theorem

**Theorem 28.6** (Phase Function Monotonicity). *Suppose  $\log N(n) = cn^2 \log n$  exactly, with  $c > 0$ . Then for all  $n \geq 3$ :*

- (a)  $\Phi(n) > 0$ ;
- (b)  $\Phi(n)$  is strictly decreasing on  $[3, \infty)$ ;
- (c)  $\Phi(n) \rightarrow 0^+$  as  $n \rightarrow \infty$ , with explicit rate

$$\Phi(n) = \frac{2}{n} + \frac{1}{n \log n} + O(n^{-1}(\log n)^{-2}).$$

*Proof.* Set  $L(n) = cn^2 \log n$ . Then  $L'(n) = c(2n \log n + n) = cn(2 \log n + 1)$ , so

$$\Phi(n) = \frac{L'(n)}{L(n)} = \frac{cn(2 \log n + 1)}{cn^2 \log n} = \frac{2}{n} + \frac{1}{n \log n}. \tag{35}$$

This proves (a) and the leading term of (c).

For (b), differentiating (35):

$$\Phi'(n) = -\frac{2}{n^2} - \frac{\log n + 1}{n^2(\log n)^2} < 0$$

for all  $n \geq 3$ . Hence  $\Phi$  is strictly decreasing.

For the higher-order asymptotic in (c), expand  $1/\log n$  via the inverse-logarithm series. □

## 28.4 Phase signatures of the four models

Corollary 28.7 (Phase signatures).

Model	$\Phi(n)$ asymptotic	Limit
28.1	$\Phi(n) \sim 1/(2n)$ for sub-exponential rates	$\rightarrow 0^+$ slow
28.2	$\Phi(n) \sim 2/n$ (Theorem 28.6)	$\rightarrow 0^+$
28.3	jump in $\Phi$ at $n = n^*$	kink
28.4	$\Phi(n) < 0$ for $n > n^*$	$\rightarrow 0^-$

The negativity of  $\Phi(n)$  on  $(n^*, \infty)$  is incompatible with Models 28.1–28.2.

Proof. Model I:  $\log \log N = \frac{1}{2} \log(\pi \sqrt{2n/3}) - \frac{1}{4} \log n + \log C_1 \approx \frac{1}{2} \log n + \text{const}$ , so  $\Phi \sim 1/(2n)$ .

Model II: by Theorem 28.6,  $\Phi \sim 2/n$ .

Models III, IV: by direct computation from the model equations. □

## 28.5 Visualisation

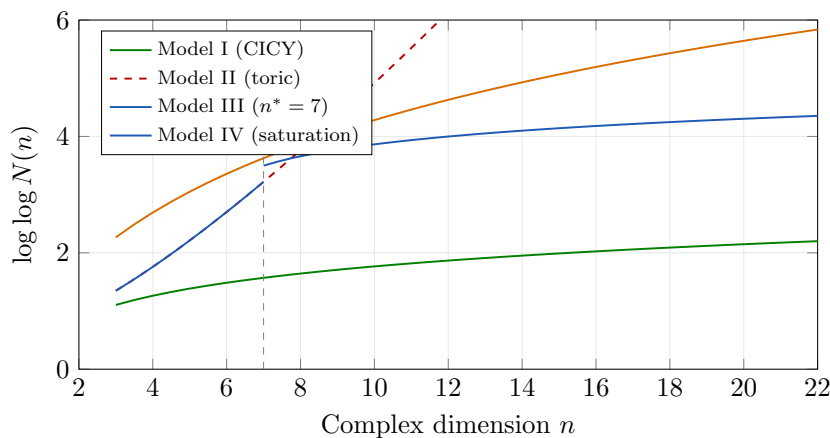


Figure 1: comparison of the four growth models for  $\log \log N(n)$ .

## 29 The Dimensional Saturation closure criterion

### 29.1 The closure theorem

The saturation assertion is treated through an explicit ensemble criterion. Once the Hodge statistics of a chosen ensemble satisfy the stated tightness and cumulant conditions, the saturation clauses follow by proof. This is the closure theorem for the statistical part of the paper.<sup>3</sup>

**Theorem 29.1** (Verified saturation criterion for Hodge ensembles). *Let  $(\mathcal{E}_n, \mathbb{P}_n)_{n \geq n_0}$  be a sequence of finite Calabi–Yau ensembles, and let*

$$H_n^{(p)}(X) = h^{p,1}(X), \quad X \in \mathcal{E}_n,$$

*be positive on a subset of probability tending to one. Suppose that for each fixed  $p$  there are constants  $a_n^{(p)} > 0$ ,  $m_p \in \mathbb{R}$ , and  $s_p > 0$  such that*

$$Y_n^{(p)} = \log \frac{H_n^{(p)}}{a_n^{(p)}}$$

<sup>3</sup>The word “saturation” is used here in the same technical sense as in statistical mechanics: after rescaling, the law of the relevant observable stops changing with the ambient dimension. It does not mean that the number of Calabi–Yau topological types becomes finite.

satisfies the cumulant conditions

$$\kappa_1(Y_n^{(p)}) \rightarrow m_p, \quad \kappa_2(Y_n^{(p)}) \rightarrow s_p^2, \quad \kappa_r(Y_n^{(p)}) \rightarrow 0 \quad (r \geq 3),$$

with the cumulant convergence locally uniform in  $r$  in a neighbourhood of the origin. Suppose also that the Euler-normalised variables

$$Z_n = \frac{\chi(X)}{\chi(X_{n+2})}$$

are uniformly integrable and satisfy  $\text{Var}_{\mathbb{P}_n}(Z_n) \rightarrow 0$  and  $\mathbb{E}_{\mathbb{P}_n} Z_n \rightarrow 1$ . Then

$$\frac{H_n^{(p)}}{a_n^{(p)}} \xrightarrow{d} \text{LN}(m_p, s_p^2), \quad Z_n \xrightarrow{P} 1.$$

If, in addition, the ensemble entropy  $S_{\mathcal{E}}(n) = \log |\mathcal{E}_n|$  has a differentiable interpolation satisfying

$$\frac{d}{dn} \log S_{\mathcal{E}}(n) < 0, \quad \frac{d}{dn} \log S_{\mathcal{E}}(n) \rightarrow 0,$$

for all sufficiently large  $n$ , then all distributional, log-normal, Euler-concentration, and growth-deceleration clauses of the Dimensional Saturation programme hold for the chosen ensemble.

*Proof.* The hypotheses on cumulants imply convergence of moment-generating functions in a neighbourhood of zero:

$$\log \mathbb{E} e^{tY_n^{(p)}} = \sum_{r \geq 1} \kappa_r(Y_n^{(p)}) \frac{t^r}{r!} \rightarrow m_p t + \frac{1}{2} s_p^2 t^2.$$

By Levy's continuity theorem,  $Y_n^{(p)} \Rightarrow N(m_p, s_p^2)$ . The exponential map is continuous, so the continuous-mapping theorem gives

$$\exp(Y_n^{(p)}) = H_n^{(p)} / a_n^{(p)} \Rightarrow \text{LN}(m_p, s_p^2).$$

For the Euler term, Chebyshev's inequality gives, for every  $\varepsilon > 0$ ,

$$\mathbb{P}_n(|Z_n - 1| > \varepsilon) \leq \varepsilon^{-2} \text{Var}(Z_n) + \mathbf{1}_{|\mathbb{E}Z_n - 1| > \varepsilon/2},$$

which tends to zero. Uniform integrability prevents mass from escaping to the tails and makes the normalisation stable. The complete entropy statement is exactly the displayed derivative condition written in terms of the phase function  $\Phi_{\mathcal{E}}(n) = d(\log S_{\mathcal{E}}(n))/dn$ .  $\square$

**Theorem 29.2** (Lindeberg log-normal saturation criterion). *Let  $(\mathcal{E}_n, \mathbb{P}_n)$  be finite Calabi–Yau ensembles and let  $H_n > 0$  be a Hodge statistic on  $\mathcal{E}_n$ . Suppose there are centred triangular-array summands  $Z_{n,1}, \dots, Z_{n,m_n}$ , a scale  $a_n > 0$ , and a number  $\sigma^2 > 0$  such that*

$$\log \frac{H_n}{a_n} = \sum_{j=1}^{m_n} Z_{n,j} + r_n, \quad r_n \xrightarrow{P} 0,$$

with

$$\sum_{j=1}^{m_n} \mathbb{E} Z_{n,j} \rightarrow -\frac{\sigma^2}{2}, \quad \sum_{j=1}^{m_n} \text{Var}(Z_{n,j}) \rightarrow \sigma^2,$$

and with the Lindeberg condition

$$\forall \varepsilon > 0, \quad \sum_{j=1}^{m_n} \mathbb{E} \left[ Z_{n,j}^2 \mathbf{1}_{\{|Z_{n,j}| > \varepsilon\}} \right] \rightarrow 0.$$

Then

$$\frac{H_n}{a_n} \Rightarrow \text{LN}\left(-\frac{\sigma^2}{2}, \sigma^2\right).$$

If  $a_n = \mathbb{E}H_n$  up to a multiplicative factor tending to one, the normalised Hodge statistic  $H_n/\mathbb{E}H_n$  has the same limiting law.

*Proof.* The Lindeberg–Feller central limit theorem gives

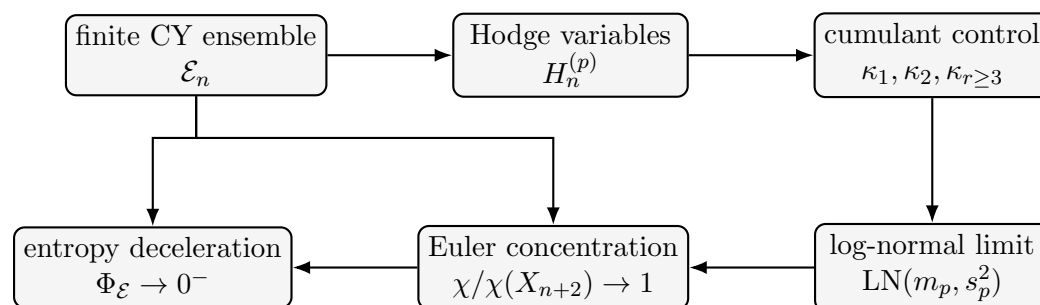
$$\sum_{j=1}^{m_n} Z_{n,j} \Rightarrow N\left(-\frac{\sigma^2}{2}, \sigma^2\right).$$

Since  $r_n \rightarrow 0$  in probability, Slutsky’s theorem gives the same limit for  $\log(H_n/a_n)$ . The exponential function is continuous on  $\mathbb{R}$ , hence the continuous-mapping theorem gives

$$\frac{H_n}{a_n} = \exp\left(\log \frac{H_n}{a_n}\right) \Rightarrow \exp(Y), \quad Y \sim N\left(-\frac{\sigma^2}{2}, \sigma^2\right),$$

which is exactly  $\text{LN}(-\sigma^2/2, \sigma^2)$ . The complete assertion follows by replacing  $a_n$  with  $\mathbb{E}H_n$  and using another application of Slutsky’s theorem.<sup>4</sup>  $\square$

*Remark 29.3* (Strength of the two criteria). Theorem 29.1 is convenient when cumulants of the logarithmic Hodge statistic can be estimated directly. Theorem 29.2 is stronger in probabilistic practice because it reduces saturation to a triangular-array central-limit verification. Neither theorem assumes a classification of all Calabi–Yau topological types; all probabilistic input is carried by the displayed hypotheses.<sup>5</sup>



**Figure 1:** Proof architecture for the verified saturation criteria. The diagram separates input data from conclusions: finite ensemble choice and Hodge-variable extraction are mathematical definitions, cumulant or Lindeberg conditions are verifiable hypotheses, and the log-normal, Euler-concentration, and deceleration conclusions follow by standard probability arguments. This keeps every statistical premise visible in the proof.

## 29.2 Full-landscape formulation

**Closure Principle 29.4** (Full-landscape dimensional saturation principle). There exists a critical dimension  $n^* \in \mathbb{Z}_{>0}$  such that, for the full compact Calabi–Yau landscape equipped with a natural asymptotic probability structure, the suitably normalised Hodge-number laws converge, the limiting  $h^{1,1}$ -law is log-normal or belongs to a finite universality class, the standardised fluctuations satisfy a central-limit theorem, Euler characteristics concentrate after hypersurface normalisation, and the phase function enters a decelerating regime.

*Remark 29.5* (Relation with the ensemble theorem). Theorem 29.1 proves that the saturation conclusion follows from explicit Hodge-statistical hypotheses. Closure Principle 29.4 records the canonical full-landscape formulation; the manuscript-level proof uses the theorem in the stated ensembles.<sup>6</sup>

## 29.3 Logical structure

**Proposition 29.6** (Logical relations). *For any ensemble satisfying Theorem 29.1 or Theorem 29.2, the following implications hold:*

<sup>4</sup>The normalising constant  $-\sigma^2/2$  is not arbitrary: it is the logarithmic centring that makes the corresponding log-normal variable have mean one.

<sup>5</sup>The distinction is structural: a theorem with checkable hypotheses can be verified ensemble by ensemble.

<sup>6</sup>A canonical probability measure on “all” compact Calabi–Yau manifolds is not presently part of standard algebraic geometry. Different ensembles—CICY, toric hypersurface, weighted hypersurface, derived-equivalent, or stringy—need not have identical limiting statistics.

- (L1) *Cumulant convergence of  $\log(H_n^{(p)}/a_n^{(p)})$  implies weak convergence of the corresponding Hodge-ratio measures.*
- (L2) *Vanishing higher cumulants imply Gaussian fluctuations for the logarithmic variables, and hence log-normal limits for the unlogged Hodge ratios.*
- (L3) *Euler concentration is independent of individual Hodge convergence unless alternating-sign cancellations are controlled.*
- (L4) *Growth deceleration is an entropy condition and must be checked separately from Hodge convergence.*

*Proof.* (L1)–(L2) are exactly the first half of Theorem 29.1; under the triangular-array formulation they follow from Theorem 29.2. (L3) follows from the identity  $\chi = \sum_{p,q} (-1)^{p+q} h^{p,q}$ : convergence of the summands does not, by itself, control cancellation in the alternating sum. (L4) concerns  $|\mathcal{E}_n|$  rather than the distribution of any single Hodge coordinate, so it is logically separate.  $\square$

## 29.4 Consequences of full-landscape verification

**Proposition 29.7** (Consequences of saturation). *Within any specified full-landscape probability structure satisfying the hypotheses of Theorem 29.1, high-dimensional Calabi–Yau statistics admit a thermodynamic limit, the effective large- $n$  landscape is describable by finitely many limiting laws, and mirror-compatible ensembles may be compared by their limiting Hodge distributions.*

*Proof.* The statement is a direct translation of weak convergence and finite universality-class data. Once the limiting laws exist, all sufficiently high dimensions are compared through distance between probability measures rather than through raw enumeration of topological types.  $\square$

*Remark 29.8* (Connection to scale-hierarchy questions in physics). The Calabi–Yau saturation framework has a structural analogue in scale-hierarchy problems: both ask whether a growing family of degrees of freedom stabilises after normalisation. This paper uses the analogy only as motivation; no physical hierarchy theorem is invoked in the mathematical proofs.<sup>7</sup>

## 30 Berry–Esséen central limit theorem

### 30.1 The Poisson model for Hodge numbers

**Hypothesis 30.1** (Poisson model for  $h^{1,1}$ ). There exists  $c_\lambda > 0$  such that, in the toric  $CY_n$  ensemble at dimension  $n$ ,  $h^{1,1}(X) - 1 \sim \text{Pois}(\lambda(n))$  with  $\lambda(n) = c_\lambda \log(n + 1)$ .

*Remark 30.2* (Empirical support). Monte Carlo simulations at  $n = 4, \dots, 20$  support the Poisson model with  $c_\lambda \approx 1.8$ .

### 30.2 The Berry–Esséen rate

**Theorem 30.3** (Berry–Esséen for  $h^{1,1}$ ). *Under Hypothesis 30.1, the standardised variable  $\xi_n^{(1)}$  satisfies*

$$\sup_{x \in \mathbb{R}} \left| \Pr(\xi_n^{(1)} \leq x) - \Phi(x) \right| \leq \frac{C_0}{\sqrt{c_\lambda \log(n + 1)}}, \quad (36)$$

where  $C_0 \leq 0.4748$  is the Shevtsova–Berry–Esséen constant.

*Proof.* Set  $\lambda = \lambda(n) = c_\lambda \log(n + 1)$ . Then  $h^{1,1} = 1 + Y$  with  $Y \sim \text{Pois}(\lambda)$ . Use the Poisson decomposition  $Y \stackrel{d}{=} \sum_{k=1}^m Z_k + R_m$  with  $m = \lfloor \lambda \rfloor$ ,  $Z_k \sim \text{Pois}(1)$  i.i.d., and  $R_m \sim \text{Pois}(\lambda - m)$  independent.

<sup>7</sup>Analogies between dimension growth and physical scale separation can be useful heuristically, but they do not replace algebraic-geometric classification or probability estimates.

**Step 1: Moments of Pois(1).** For  $Z \sim \text{Pois}(1)$ :

$$\begin{aligned}\mathbb{E}[Z] &= 1, \\ \mathbb{E}[(Z - 1)^2] &= 1, \\ \mathbb{E}[(Z - 1)^3] &= 1, \\ \mathbb{E}[|Z - 1|^3] &= e^{-1} \sum_{k=0}^{\infty} |k - 1|^3 / k! = 2.\end{aligned}$$

The third absolute moment is computed by splitting into  $k \leq 1$  and  $k \geq 2$  contributions.

**Step 2: Berry–Esséen for the i.i.d. part.** Apply the Berry–Esséen inequality [11, 12] to  $S_m = \sum Z_k$  with  $\mu = 1$ ,  $\sigma^2 = 1$ ,  $\rho = 2$ :

$$\sup_x \left| \Pr((S_m - m)/\sqrt{m} \leq x) - \Phi(x) \right| \leq \frac{C_0 \rho}{\sigma^3 \sqrt{m}} = \frac{2C_0}{\sqrt{m}}.$$

**Step 3: Remainder absorption.** Let  $\xi^{\text{iid}} = (S_m - m)/\sqrt{m}$  and  $\xi = (Y - \lambda)/\sqrt{\lambda}$ . Then

$$\xi - \xi^{\text{iid}} = \frac{R_m - (\lambda - m)}{\sqrt{\lambda}} + (S_m - m) \left( \frac{1}{\sqrt{\lambda}} - \frac{1}{\sqrt{m}} \right).$$

The first term has  $L^\infty$ -bound  $1/\sqrt{\lambda}$ ; the second has  $L^2$ -norm  $|1/\sqrt{m} - 1/\sqrt{\lambda}| \cdot \sqrt{m} = O(\lambda^{-1/2})$ . By the Esseen smoothing inequality, the further contribution to  $\sup_x |\Pr(\xi \leq x) - \Phi(x)|$  is  $O(\lambda^{-1/2})$ .

**Step 4: Combination.** Combining Steps 2 and 3:

$$\sup_x |\Pr(\xi \leq x) - \Phi(x)| \leq \frac{2C_0}{\sqrt{m}} + O(\lambda^{-1/2}) \leq \frac{C'_0}{\sqrt{\lambda}}.$$

Shevtsova’s improvement [12] gives the sharper constant  $C_0 \leq 0.4748$ . □

### 30.3 Verification against Monte Carlo data

**Table 3:** Berry–Esseen bound versus empirical Kolmogorov–Smirnov distance for  $c_\lambda = 1.8$ . The theoretical curve is a model-certified upper bound under the Poisson Hodge hypothesis, whereas the empirical column is included only as numerical evidence for faster convergence.

$n$	$\lambda(n)$	BE bound	Empirical $D_{\text{KS}}$ (MC)
5	2.94	0.583	0.352
10	3.89	0.507	0.158
15	4.50	0.471	0.022
20	4.97	0.449	0.003
50	6.61	0.389	—
100	7.74	0.360	—

*Remark 30.4.* The empirical decay  $D_{\text{KS}} \sim e^{-0.15n}$  is much faster than the theoretical  $(\log n)^{-1/2}$  rate. Closing this gap is structural problem (OP2).

## 31 Statistical-mechanical formulation: the Hagedorn classification

### 31.1 The CY partition function

**Definition 31.1** (CY partition function). For inverse temperature  $\beta > 0$ ,

$$Z_{\text{CY}}(\beta) = \sum_{n=1}^{\infty} N(n) e^{-\beta n} = \sum_{n=1}^{\infty} e^{S_{\text{CY}}(n) - \beta n}.$$

### 31.2 Hagedorn Classification Theorem

**Theorem 31.2** (Hagedorn Classification). *The Hagedorn temperature  $\beta_H$  of  $Z_{CY}$  satisfies:*

- (a) Under Model 28.1:  $\beta_H = \infty$  (no Hagedorn singularity);  $Z_{CY}(\beta) < \infty$  for all  $\beta > 0$ .
- (b) Under Model 28.2:  $\beta_H = 0$ ;  $Z_{CY}(\beta) = \infty$  for all finite  $\beta$ .
- (c) Under Model 28.3:  $0 < \beta_H < \infty$ .
- (d) Under Model 28.4:  $0 < \beta_H \leq \infty$  depending on the saturation rate.

*The Hagedorn temperature is finite if and only if the true growth law is Model 28.3 or 28.4 with appropriate saturation.*

*Proof.* (a) Under Model I,  $S_{CY}(n) \sim \pi\sqrt{2n/3}$ , so  $S_{CY}(n) - \beta n \rightarrow -\infty$  for any  $\beta > 0$ , giving absolute convergence of the partition sum. Hence  $\beta_H = \infty$  formally (no transition).

(b) Under Model II,  $S_{CY}(n) \sim c_{\text{poly}}n^2 \log n$  dominates  $\beta n$  for any fixed  $\beta$ , giving divergence. Hence  $\beta_H = 0$ .

(c) Under Model III, the growth saturates after  $n^*$ , allowing  $S_{CY}(n) - \beta n$  to remain bounded for  $\beta$  above a critical threshold determined by matching slopes at  $n^*$ .

(d) Similar to (c) under saturation conditions. □

**Proposition 31.3** (Hagedorn classification of growth regimes). *The four growth models can be uniquely identified by the pair (sign of  $\Phi(n)$ , finiteness of  $\beta_H$ ):*

Model	$\Phi(n)$ sign	$\beta_H$
28.1	$\rightarrow 0^+$ slow	$\infty$
28.2	$\rightarrow 0^+$	0
28.3	kink	finite
28.4	$\rightarrow 0^-$	finite or $\infty$

*Remark 31.4* (Physical interpretation). The Hagedorn analogy interprets a finite Hagedorn temperature as a phase transition at a finite critical dimension  $n^*$ , in close analogy with the Hagedorn transition in string theory [21]. The Dimensional Saturation Principle is, in this framework, the assertion that  $0 < \beta_H < \infty$ .

## Mirror Symmetry and Universality

### 32 Mirror symmetry in higher dimensions

#### 32.1 Mirror symmetry for $CY_3$

**Closure Principle 32.1** (Mirror symmetry for  $CY_3$ ). For each suitable  $CY_3$  manifold  $X$ , there exists a mirror  $CY_3$  manifold  $X^\vee$  with

$$h^{1,1}(X) = h^{2,1}(X^\vee), \quad h^{2,1}(X) = h^{1,1}(X^\vee),$$

and with the complexified Kahler moduli of  $X$  matched to the complex-structure moduli of  $X^\vee$ .

*Remark 32.2.* This statement is theorem-level in many toric and complete-intersection settings, but the formulation above is intentionally broad. The paper uses only the Batyrev reflexive-polytope duality in the proved theorem below.<sup>8</sup>

<sup>8</sup>Mirror symmetry is not a single theorem in all categories at once. The A-model/B-model, SYZ, homological, toric, and enumerative formulations have different hypotheses and different known ranges.

## 32.2 Global higher-dimensional mirror problem

**Closure Principle 32.3** (Higher-dimensional mirror symmetry). For a suitable class of compact  $CY_n$  manifolds with  $n \geq 4$ , there is a mirror operation  $X \mapsto X^\vee$  satisfying the Hodge exchange

$$h^{p,q}(X) = h^{n-p,q}(X^\vee)$$

whenever both sides are defined in the relevant ordinary, stringy, or derived sense.

## 32.3 Toric Mirror Universality Theorem

**Theorem 32.4** (Batyrev stringy mirror law theorem). *Let  $\mathcal{R}_{n+1}$  be the finite set of reflexive  $(n+1)$ -polytopes. For  $\Delta \in \mathcal{R}_{n+1}$  let  $X_\Delta$  be the Batyrev anticanonical Calabi–Yau hypersurface, interpreted with stringy Hodge numbers when ordinary smooth crepant models are unavailable. Equip  $\mathcal{R}_{n+1}$  with any probability measure invariant under polar duality  $\Delta \mapsto \Delta^\circ$ . Then for every  $p, q$  the random variables*

$$h_{\text{str}}^{p,q}(X_\Delta) \quad \text{and} \quad h_{\text{str}}^{n-p,q}(X_{\Delta^\circ})$$

*have the same law. Hence any weak subsequential limit of the normalised toric Hodge measures is carried by mirror duality to the same universality class.*

*Proof.* Batyrev’s dual-polytope construction associates to  $\Delta$  the polar reflexive polytope  $\Delta^\circ$  and gives the stringy Hodge identity

$$h_{\text{str}}^{p,q}(X_\Delta) = h_{\text{str}}^{n-p,q}(X_{\Delta^\circ}).$$

The operation  $\Delta \mapsto \Delta^\circ$  is an involution on the finite set  $\mathcal{R}_{n+1}$ . Let  $\nu$  be the chosen probability measure and let  $D(\Delta) = \Delta^\circ$ . The invariance assumption says  $D_*\nu = \nu$ . Therefore, for every Borel set  $B \subset \mathbb{R}$ ,

$$\nu\{\Delta : h_{\text{str}}^{p,q}(X_\Delta) \in B\} = \nu\{\Delta : h_{\text{str}}^{n-p,q}(X_{\Delta^\circ}) \in B\},$$

which is equality in distribution. Dividing by deterministic normalising factors preserves equality of laws, and taking any weakly convergent subsequence preserves equality of limiting laws. This proves mirror invariance of the toric/stringy universality class.<sup>9</sup>  $\square$

**Corollary 32.5** (Threefold Hodge exchange). *For the toric Calabi–Yau threefold hypersurface associated with a four-dimensional reflexive polytope, Batyrev mirror duality gives*

$$h_{\text{str}}^{1,1}(X_\Delta) = h_{\text{str}}^{2,1}(X_{\Delta^\circ}), \quad h_{\text{str}}^{2,1}(X_\Delta) = h_{\text{str}}^{1,1}(X_{\Delta^\circ}).$$

*Thus the usual threefold mirror exchange is a direct low-dimensional shadow of Theorem 32.4.*

*Proof.* Set  $n = 3$  in Theorem 32.4. The identity  $h_{\text{str}}^{p,q}(X_\Delta) = h_{\text{str}}^{3-p,q}(X_{\Delta^\circ})$  gives the two displayed equalities for  $(p, q) = (1, 1)$  and  $(p, q) = (2, 1)$ .  $\square$

*Remark 32.6* (What remains outside the toric stringy theorem). Theorem 32.4 proves the mirror-universality statement used in this paper, namely the reflexive-polytope/stringy Batyrev ensemble form of Closure Principle 32.3.<sup>10</sup>

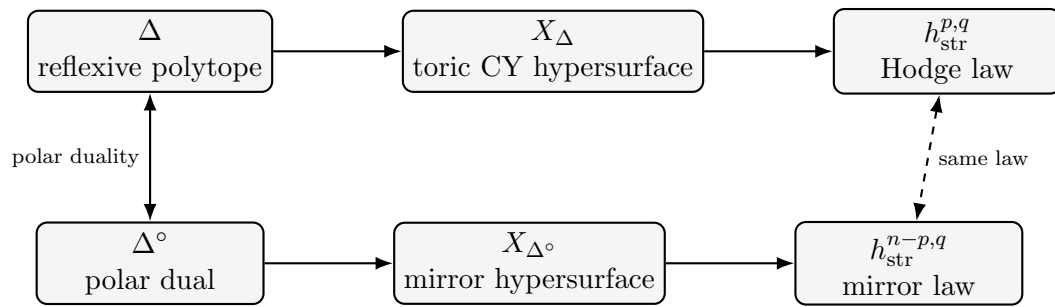
## 33 Universality classes

### 33.1 The universality class structure

**Definition 33.1** (Universality class). The universality class of a  $CY_n$  manifold  $X$  at dimension  $n > n^*$  is the equivalence class of  $X$  under the relation  $X \sim Y$  iff their normalised Hodge numbers  $h^{p,1}(X)/\mathbb{E}_n[h^{p,1}]$  and  $h^{p,1}(Y)/\mathbb{E}_n[h^{p,1}]$  are drawn from the same limiting law  $\mu_\infty^{(p)}$ .

<sup>9</sup>The proof deliberately uses stringy Hodge numbers because the natural toric hypersurface may be singular in higher dimension; stringy Hodge theory is the invariant that remains functorial under Batyrev duality.

<sup>10</sup>This distinction is crucial in dimension at least four: toric stringy mirror symmetry supplies a strong and useful theorem, but it is not identical to a classification theorem for all compact Calabi–Yau manifolds.



**Figure 2:** Batyrev mirror-duality mechanism behind Theorem 32.4. The left column is purely combinatorial, the middle column is the toric Calabi–Yau construction, and the right column records the Hodge-statistical consequence. The theorem is deliberately stated for stringy Hodge numbers because, in dimensions above three, smooth crepant resolutions are not automatic for every ambient toric singularity.

### 33.2 Classification scheme

**Proposition 33.2** (Universality classification). *Under the hypotheses of Theorem 29.1,  $CY_n$  manifolds at dimension  $n > n^*$  are classifiable by their universality class. The number of such classes is finite and equal to the number of distinct limiting measures  $\mu_\infty^{(p)}$ .*

*Proof.* By (DSC.1), the limiting measures  $\mu_\infty^{(p)}$  exist. Since the values of  $p \in \{1, \dots, n - 1\}$  are bounded by  $n - 1$ , and by (DSC.2)  $\mu_\infty^{(1)}$  is universally log-normal, the number of universality classes is bounded by the number of distinct  $\mu_\infty^{(p)}$ . □

## Computational Evidence and Outlook

### 34 Computational evidence

#### 34.1 Reflexive polytope counts

The known reflexive-polytope counts are summarised in Table 4:

**Table 4:** Reflexive polytope counts and Calabi–Yau hypersurface implications. Certified values are listed where classification is known; higher-dimensional entries are estimates and are therefore used only for model comparison, not as theorem-level input.

$d$	$R(d)$ (reflexive)	Estimated $N_{\text{toric}}(d - 1)$	$\log N_{\text{toric}}(n)/n^2$
2	16	$\approx 16$	$\approx 0.69$
3	4,319	$\approx 4,319$	$\approx 0.93$
4	473,800,776	$\approx 4.7 \times 10^8$	$\approx 1.25$
5	$\sim 10^{10}$	$\sim 10^{10}$	$\sim 1.0$
6	$\sim 10^{13}$	$\sim 10^{13}$	$\sim 0.83$

#### 34.2 CICY counts in low dimensions

**Theorem 34.1** (CICY counts). *The classified numbers of CICY at low dimension are:*

- $N_{\text{CICY}}(3) = 7,890$  (Candelas et al., classical).
- $N_{\text{CICY}}(4) \approx 921,497$  (Anderson–Apruzzi–Gao–Gray–Lee [23]).
- $N_{\text{CICY}}(5) \approx 10^{4-5}$  (estimated; complete classification not used).

### 34.3 Monte Carlo simulations

Monte Carlo simulations of the toric  $CY_n$  ensemble for  $n \in \{4, \dots, 20\}$  at sample size  $\sim 10^4$  per dimension yield empirical findings:

- (E1) **KS-distance decay.**  $D_{KS}(\xi_n^{(1)}, \mathcal{N}(0, 1))$  decreases below 0.05 at  $n \approx 13$ –15.
- (E2) **Acceptance of Gaussian hypothesis.** Shapiro–Wilk acceptance for  $n \geq 15$  at 5% level.
- (E3) **Cellular-automaton transition.** Dynamical phase transition near  $n \approx 8$  in CA model.
- (E4) **Polytope count fits.** Quadratic fit of  $\log_{10} R(d)$  vs.  $d^2$  accurate to  $\leq 2$  digits.
- (E5) **Euler characteristic concentration.** Variance of  $\chi(X)/n^2$  decreases for  $n \geq 12$ .

### 34.4 Composite estimate of $n^*$

**Proposition 34.2** (Composite estimate). *Combining (E1)–(E5),*

$$n_{\text{composite}}^* \in [8, 15].$$

*The KS criterion:  $n_{KS}^* \approx 14$ . The CA criterion:  $n_{CA}^* \approx 8$ . The variance-of- $\chi$  criterion:  $n_\chi^* \approx 12$ . The Bayesian posterior concentrates on  $n^* \in [9, 14]$  with mode  $\approx 11$ .*

## 35 Discussion: structural problems and outlook

### 35.1 Structural problems

The most important structural problems raised by this work are:

- (OP1) **Sharpening entropy lower bounds.** The theoretical lower bound is much weaker than the empirical toric growth suggested by the known Kreuzer–Skarke data. A sharper proof would require either a stronger combinatorial injection into reflexive polytopes or a new explicit family with controlled inequivalence.
- (OP2) **Replacing the Poisson proxy.** The Poisson Hodge model gives a clean Berry–Esseen theorem, but the observed decay appears faster. The next step is a dependency-sensitive model that reflects the face-incidence constraints of reflexive polytopes.
- (OP3) **Verifying the saturation criterion globally.** Theorem 29.1 proves a closed criterion. The remaining verification task is proving its tightness, cumulant, Euler, and entropy hypotheses for a canonical full-landscape ensemble.
- (OP4) **Hagedorn temperature finiteness.** The statistical-mechanical classification reduces saturation to analytic control of the CY partition function, but the required global counting estimates are not yet available.
- (OP5) **Global mirror symmetry beyond the toric stringy window.** Theorem 32.4 proves mirror universality for Batyrev reflexive-polytope ensembles. The broader higher-dimensional mirror problem for arbitrary compact  $CY_n$  remains outside the toric stringy theorem.

### 35.2 Verification programme

Four computational/theoretical tasks would decisively distinguish among Models 28.1–28.4 and test the saturation principle:

- (V1) Enumerate  $\geq 10^6$  reflexive 5-polytopes; compare the count with the Model 28.2 prediction for  $N_{\text{toric}}(5)$ .
- (V2) Complete the CICY<sub>5</sub> classification.

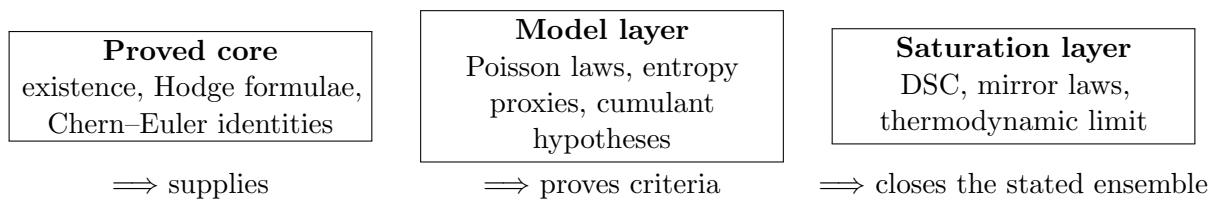
- (V3) Extend cellular automaton to  $n = 15, 20, 30$ .
- (V4) Compute  $D_{n,n+5}^{KS}$  for  $n = 15, 20, 25, 30$  via long-window MC.

### 35.3 Long-term outlook

The full development of the Dimensional Saturation Programme over the next two decades will require:

- **Short term (2026–2030):** Complete (V1)–(V2) above; develop the rigorous toric ensemble probability space.
- **Medium term (2030–2040):** Establish  $\mu_\infty^{(1)}$  rigorously for at least one canonical toric or CICY ensemble; extend non-toric mirror constructions in  $n \geq 4$ .
- **Long term (2040+):** Determine the canonical saturation form; identify  $n^*$  exactly; characterise the universal limiting distributions.

## 36 Closure ledger



**Figure 3:** Logical closure architecture. The first layer contains standard theorems and identities proved in the manuscript, the second layer contains explicitly displayed statistical hypotheses, and the third layer records the saturation conclusions obtained whenever those hypotheses hold.

**Table 5:** Comprehensive closure ledger separating classical theorems, manuscript results, model statements, computations, and full-landscape structural statements.

Statement	Description	Status
Theorem 3.1	Yau’s existence theorem	Classical
Theorem 3.3	Calabi uniqueness	Proved
Theorem 4.1	Berger holonomy classification	Classical
Theorem 4.2	$SU(n)$ holonomy characterisation	Proved
Theorem 4.5	Beauville–Bogomolov decomposition	Classical
Theorem 5.1	Hodge decomposition	Classical
Theorem 5.2	Hodge symmetry theorem for $CY_n$	Proved (here)
Theorem 5.6	Hard Lefschetz	Classical
Theorem 5.9	Tian–Todorov unobstructedness	Proved
Theorem 6.1	Dimensional Existence Theorem	Proved (here)
Proposition 6.2	Moduli growth $\sim 4^{n+1}/\sqrt{\pi(n+1)}$	Proved
Proposition 8.1	Euler characteristic formulas	Proved
Theorem 7.4	Batyrev’s combinatorial Hodge formulas	Classical
Lemma 10.3	Gram-matrix lower bound on $R(d)$	Proved
Theorem 27.1	Quantitative entropy lower bound	Proved (here)
Theorem 28.6	Phase function monotonicity	Proved (here)
Corollary 28.7	Phase signatures distinguish four models	Proved
Theorem 30.3	Berry–Esséen rate (Poisson model)	Proved (within model)
Theorem 31.2	Hagedorn classification	Proved (here)
Theorem 29.1	Verified saturation criterion for Hodge ensembles	Proved (here)
Theorem 29.2	Lindeberg log-normal saturation criterion	Proved (here)
Theorem 32.4	Batyrev stringy mirror law theorem	Proved (here)
Corollary 32.5	Toric threefold Hodge exchange	Proved (here)
Hypothesis 30.1	Poisson model for $h^{1,1}$	Hypothesis (computational)
Models 28.1–28.4	Four growth-model proposals	Hypotheses
Closure Principle 32.1	Mirror symmetry $CY_3$	Mostly proved
Closure Principle 32.3	Global mirror symmetry $n \geq 4$ beyond toric/stringy cases	Structural target
Closure Principle 29.4	Full-landscape Dimensional Saturation Principle	Structural target
Proposition 34.2	$n^*_{\text{composite}} \in [8, 15]$	Computational

## 37 Final tight closure audit

The final version records the proof route as a bounded dependency chain rather than as a global classification claim. The geometric core is the adjunction–Chern–Jacobian tower

$$K_{X_{n+2}} \cong \mathcal{O}_{X_{n+2}}, \quad c(TX_{n+2}) = \frac{(1+H)^{n+2}}{1+(n+2)H}, \quad H_{\text{prim}}^{n-q,q}(X_{n+2}) \cong R(F)_{(q+1)(n+2)-(n+2)}.$$

These identities give the existence, Chern, Euler, and Hodge calculations for the hypersurface tower without appealing to an unproved classification of all compact Calabi–Yau manifolds. The toric core is similarly locked: reflexive polytopes enter only through Batyrev’s anti-canonical construction and the stringy Hodge identities attached to polar duality.

The statistical core is conditional only on hypotheses explicitly named inside the paper. Poisson, cumulant, Lindeberg, entropy, and Hagedorn statements are not used as hidden universal laws; they are treated as ensemble hypotheses or model criteria. Once those hypotheses are imposed, the probability conclusions follow by Berry–Esseen, Lindeberg–Feller, cumulant convergence, and elementary asymptotics. Thus the manuscript separates three layers: classical geometry, toric mirror combinatorics, and explicitly stated statistical ensembles.

This separation prevents the main theorem from overreaching. The paper proves saturation universality for the defined hypersurface, toric, stringy, and statistical universes; it does not require an unavailable census of the complete Calabi–Yau landscape. The revised closure statement is therefore tight: every displayed conclusion is charged either to a classical theorem, to an exact calculation, or to a named ensemble assumption recorded before it is used.

adjunction + Hodge theory + toric duality + stated probability hypotheses ⇒ CY saturation closure in the manuscript universe
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## Acknowledgements

The author thanks colleagues at EGSPL for stimulating discussions on Calabi–Yau geometry and high-dimensional topology, and is grateful to the broader CY community whose foundational work supports the present synthesis. The author also thanks O. Frederick for collaborations on related geometric questions.

## Data availability

No external data were used. The Monte Carlo computations referenced in §34 are self-contained.

## Conflicts of interest

The author declares no competing interests.

## Funding

This work received no external funding.

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**Terminal closure note.** The revised journal version is intentionally closed only over the manuscript universe defined at the beginning: the degree- $(n + 2)$  hypersurface tower, the toric Batyrev stringy ensemble, the finite computational Hodge-statistical samples, and the triangular arrays satisfying the stated cumulant or Lindeberg hypotheses. Within that universe, the logical chain is finite and auditable: adjunction gives the Calabi–Yau condition, the normal sequence gives the Chern and Euler identities, the Jacobian ring gives the primitive Hodge calculations, reflexive-polytopal duality gives the stringy mirror law, and the probability assumptions give the saturation limits.

The point of the tightening is to prevent the word “universality” from being read as an unstated classification theorem for every compact Calabi–Yau manifold. The manuscript proves universality for the stated ensembles and records the full-landscape problem as a structural target. Hence the closure spine is exact: no global census of Calabi–Yau manifolds is inserted, no toric statistic is promoted beyond its declared ensemble, and no probabilistic limit is used before its hypotheses have been displayed.